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| Date of mailing (day/month/year)<br>01 September 2000 (01.09.00)          |   |
| International application No.<br>PCT/CA99/01177                           | Applicant's or agent's file reference<br>1162/0051            |
| International filing date (day/month/year)<br>10 December 1999 (10.12.99) | Priority date (day/month/year)<br>11 December 1998 (11.12.98) |
| Applicant<br>SHIPMAN, Robert  |   |

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04 July 2000 (04.07.00)



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## PATENT COOPERATION TREATY

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| Date of mailing (day/month/year)<br>26 June 2000 (26.06.00) |  | <b>IMPORTANT NOTIFICATION</b>   |  |
| Applicant's or agent's file reference<br>1162/0051          |  |   |  |
| International application No.<br>PCT/CA99/01177             |  | International filing date (day/month/year)<br>10 December 1999 (10.12.99) |  |

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

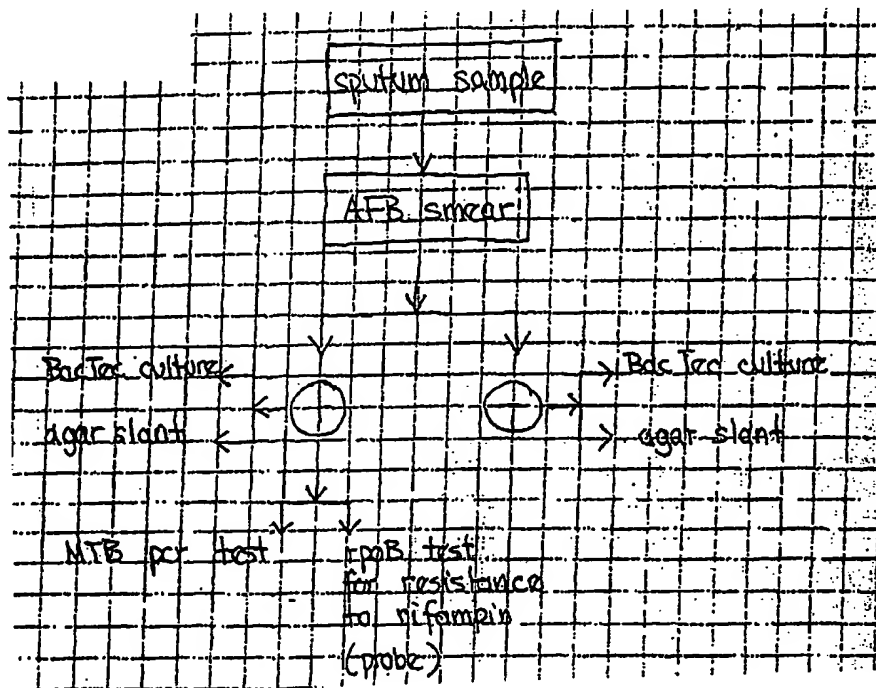
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| <b>(51) International Patent Classification <sup>7</sup> :</b><br><br><b>C12Q 1/68</b>   | <b>A1</b> | <b>(11) International Publication Number:</b> <b>WO 00/36142</b><br><br><b>(43) International Publication Date:</b> 22 June 2000 (22.06.00)   |
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**(54) Title:** METHOD AND KIT FOR THE CHARACTERIZATION OF ANTIBIOTIC-RESISTANCE MUTATIONS IN *MYCOBACTERIUM TUBERCULOSIS*

**(57) Abstract**

Amplification and cycle sequencing primer sets have been developed for the detection and analysis of antibiotic resistance-associated mutations in defined regions of the *rpoB* (rifampin), *katG* (isoniazid), *oxyR-ahpC* PR (isoniazid), *mabA* (isoniazid), *rpsL/s12* (streptomycin), *16S/rrs* (streptomycin), *embB* (ethambutol), *pncA* (pyrazinamide), *gyrA* (ciprofloxacin) and *23S* (azithromycin) genes of *Mycobacterium tuberculosis*. These primers can be used in a method for detection and characterization of *Mycobacterium tuberculosis* present in a sample. The method includes the steps of obtaining a sputum sample suspected of containing *M. tuberculosis*, performing a first sequencing procedure, with or without prior amplification, on the sample to detect the presence of *M. tuberculosis*, and if present to evaluate the

*rpoB*, *katG*, *rpsL/s12* and *23S* genes for the presence of antibiotic-resistance inducing mutations; and (c) if *M. tuberculosis* is detected in step (b), performing a second sequencing procedure, with or without prior amplification, on the sample to evaluate the additional genes for the presence of antibiotic-resistance inducing mutations.



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# INTERNATIONAL SEARCH REPORT

Int. Application No

PCT/CA 99/01177

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12Q1/68

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|------------|---|-----------------------|
| X          | KAPUR V ET AL: "Application of automated DNA sequence analysis for mycobacterium species identification and detection of mutations associated with antibiotic resistance in Mycobacterium tuberculosis" ABSTRACTS OF THE INTERSCIENCE CONFERENCE ON ANTIMICROBIAL AGENTS AND CHEMOTHERAPY, vol. 34, 1994, page 163 XP000901974 see abstract D71 | 1-3                   |
| X          | SUZUKI Y ET AL: "Detection of kanamycin-resistant Mycobacterium tuberculosis by identifying mutations in the 16SrRNA gene" JOURNAL OF CLINICAL MICROBIOLOGY, vol. 36, no. 5, May 1998 (1998-05), pages 1220-5, XP000901934 the whole document   | 1-3                   |

☒ Further documents are listed in the continuation of box C.

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Date of the actual completion of the international search

13 April 2000

Date of mailing of the international search report

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|----------|---|-----------------------|
| Y        | HONORE N ET AL: "Streptomycin resistance in mycobacteria"<br>ANTIMICROBIAL AGENTS AND CHEMOTHERAPY,<br>vol. 38, no. 2, February 1994 (1994-02),<br>pages 238-42, XP000901931<br>page 239, paragraph 2<br>---  | 1-3                   |
| Y        | SCORPIO A ET AL: "Characterization of pncA mutations in pyrazinamide-resistant Mycobacterium tuberculosis"<br>ANTIMICROBIAL AGENTS AND CHEMOTHERAPY,<br>vol. 41, no. 3, March 1997 (1997-03),<br>pages 540-543, XP000901990<br>page 540 -page 542, paragraph 4<br>---   | 1-3                   |
| Y        | ALANGADEN GJ ET AL: "Mechanism of resistance to amikacin and kanamycin in Mycobacterium tuberculosis"<br>ANTIMICROBIAL AGENTS AND CHEMOTHERAPY,<br>vol. 42, no. 5, May 1998 (1998-05), pages 1295-97, XP000901991<br>the whole document<br>---  | 1-3                   |
| Y        | HEYM B ET AL: "IMPLICATIONS OF MULTIDRUG RESISTANCE FOR THE FUTURE OF SHORT-COURSE CHEMOTHERAPY OF TUBERCULOSIS: A MOLECULAR STUDY"<br>LANCET THE, GB, LANCET LIMITED. LONDON,<br>vol. 344, no. 8918,<br>30 July 1994 (1994-07-30), pages 293-298,<br>XP002039609<br>ISSN: 0140-6736<br>the whole document<br>--- | 1-3                   |
| Y        | WO 97 23650 A (DUNN JAMES M ; LEUSHNER JAMES (CA); STEVENS JOHN K (CA); VISIBLE GE) 3 July 1997 (1997-07-03)<br>page 10, paragraph 1 -page 11, paragraph 4; example 7<br>---  | 1-3                   |
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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|----------|--|-----------------------|
| P, X     | <p>NUESCA D ET AL: "RAPID DETECTION OF ANTIBIOTIC RESISTANCE-ASSOCIATED MUTATIONS IN 10 GENE TARGETS IN MYCOBACTERIUM TUBERCULOSIS USING THE OPENGENE(R) SYSTEM"</p> <p>ABSTRACTS OF THE GENERAL MEETING OF THE AMERICAN SOCIETY FOR MICROBIOLOGY, vol. 99, 30 May 1999 (1999-05-30)<br/>- 3 June 1999 (1999-06-03), page 636<br/>XP000891874<br/>see abstract U-13</p> <p>-----</p> | 1-3                   |

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 99/01177

| Patent document<br>cited in search report | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
|---|---------------------|----------------------------|---------------------|
| WO 9723650 A                              | 03-07-1997          | US 5834189 A               | 10-11-1998          |
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|   |                     | EP 0771360 A               | 07-05-1997          |
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- 1 -

**METHOD AND KIT FOR THE CHARACTERIZATION OF  
ANTIBIOTIC-RESISTANCE MUTATIONS IN  
*MYCOBACTERIUM TUBERCULOSIS***

**DESCRIPTION**

**Field of the Invention**

This application relates to a method and kit for the characterization of antibiotic resistance mutations in *Mycobacterium tuberculosis*, and particularly to the evaluation of such mutations in clinical samples.

**Background of the Invention**

*M. tuberculosis* can be resistant to all antibiotics that are currently used to treat tuberculosis patients. Antibiotic resistance is due to acquired point mutations in target genes in the genome of *M. tuberculosis*. These point mutations render the organism insensitive to the action of the antibiotic by preventing its uptake or activation, or by altering the antibiotic target. The observed antibiotic resistance in *M. tuberculosis* is not due to an episome-encoded resistance gene transferred from one strain to another and, like other bacteria, is single-step (one point mutation), high-level resistance.

Rapid and accurate detection of antibiotic resistance in *Mycobacterium tuberculosis* in sputum samples would greatly improve both patient treatment and outcome. Presently, analysis of *M. tuberculosis* is carried out on DNA recovered from sputum samples handled according to Standard Infectious Disease/Public Health Laboratory practices. The sputum sample is decontaminated and a cell sediment isolated. This cell sediment is the sample source for all routine procedures used in the detection and isolation of *M. tuberculosis*. Portions of this sample are used in BacTec cultures for selective growth of *M. tuberculosis*, agar plate/agar slant cultures for *M. tuberculosis*, acid-fast bacilli (AFB) smears for mycobacteria detection and molecular biological methods for the detection of *M. tuberculosis* and atypical mycobacteria. (See Fig. 1)

Mycobacterial DNA is prepared directly from the decontaminated sputum cell sediments according to standard procedures and this mycobacterial DNA is used in the various molecular biological detection procedures. The methods presently in use for the detection of *M. tuberculosis* are either PCR-based or probe-based. These tests are used

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primarily on AFB smear-positive samples. Since the presence of *M. tuberculosis* has already been established by the AFB smear, these tests are used primarily in a confirmatory capacity as opposed to a diagnostic capacity. Furthermore, these tests provide no information on the potential antibiotic resistance of these *M. tuberculosis* samples.

Below is a list of antibiotics used to treat *M. Tuberculosis* infections. The gene target of the specific antibiotic and regions associated with antibiotic resistance are listed, if known. The references on which the codon assignments are based are listed at the end of the application.

|     |               |                                  |   |
|-----|---------------|----------------------------------|---|
| 1.  | Rifampin      | rpoB gene                        | codon 507-533 <sup>a</sup>                  |
| 2.  | Isoniazid     | katG gene                        | codon 275/315/328 <sup>b</sup>              |
| 3.  | Isoniazid     | mabA gene                        | unknown <sup>c</sup>                        |
| 4.  | Isoniazid     | oxyR-ahpC intergenic region (PR) | nucleotides -48 to +33                      |
| 5.  | Azithromycin  | 23S rRNA sequence                | nucleotide 2568A <sup>e</sup>               |
| 6.  | Pyrazinamide  | pncA gene                        | codon 47/85 <sup>f</sup>                    |
| 7.  | Ethambutol    | embB gene                        | codon 306 <sup>g</sup>                      |
| 8.  | Streptomycin  | rpsL/s12 gene                    | codon 43/88 <sup>h</sup>                    |
| 9.  | Streptomycin  | 16S/rrs sequence                 | nucleotides 491, 512, 516, 513,<br>903, 904 |
| 10. | Ciprofloxacin | gyrA gene                        | codon 88-95 <sup>j</sup>                    |

Probe-based tests do exist for the determination of rifampin resistance in *M. tuberculosis* (line probe assay-InnoTek), but these probes rely on prior knowledge of antibiotic resistance-associated mutations in the rpoB gene. Mutations outside the region covered by the probe or new mutations not included in the probe cocktail could still confer resistance, but would not be detected using this product in its present form.

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Thus, there remains a need for a method for detecting antibiotic-resistance mutations in clinical *M. tuberculosis* sputum samples which is capable of detecting mutations in all of the gene targets which confer antibiotic resistance. It is an object of the present invention to provide such a method. It is a further object of this invention to provide amplification and cycle sequencing primer sets, and kits containing such primer sets, for use in the characterization of antibiotic resistance mutations in *M. tuberculosis*.

### Summary of Invention

Amplification and cycle sequencing primer sets have been developed for the detection and analysis of antibiotic resistance-associated mutations in defined regions of the *rpoB* (rifampin), *katG* (isoniazid), *oxyR-ahpC* PR (isoniazid), *mabA* (isoniazid), *rpsL/s12* (streptomycin), *16S/rrs* (streptomycin), *embB* (ethambutol), *pncA* (pyrazinamide), *gyrA* (ciprofloxacin) and *23S* (azithromycin) genes. Using these primer sets and the OPENGENE™ automated DNA sequencing system, a protocol has been developed which permits both the rapid detection of *M. tuberculosis* and the identification of antibiotic resistance-associated mutations in a series of gene targets. The present invention uses a series of tests designed to detect antibiotic resistance-associated mutation in all gene targets for all antibiotics presently in use for the treatment of tuberculosis. The tests are employed in a hierarchical manner on both AFB smear-positive or smear-negative samples to determine both the presence and antibiotic-resistance of *M. tuberculosis* in a given sample. This method permits the simultaneous determination of *M. tuberculosis* presence in a sample and the antibiotic-resistance profile to an entire panel of antibiotics. Standard methods require from 2-6 weeks to culture *M. tuberculosis* and additional time to establish antibiotic resistance. Although DNA sequence-based (genotypic) tests are not intended to replace the traditional culture-based (phenotypic) methods, these tests do represent a rapid, sensitive and accurate protocol which provides clinicians with valuable information regarding antibiotic treatment options within days as opposed to weeks.

### Brief Description to the Figures

Fig. 1 shows known testing protocols for *M. tuberculosis*; and

Fig. 2 shows a hierarchical assay scheme for evaluating *M. tuberculosis* type in accordance with the invention.

### Detailed Description of the Invention

In accordance with the invention, regions of the genome of *M. tuberculosis* associated with antibiotic resistance are amplified and sequenced using specifically designed amplification and sequencing primers. Various techniques for amplification are known, including the basic PCR amplification techniques described in US Patent No. 4,683,202, which is incorporated herein by reference. Similarly, various techniques for sequencing are known, some of which require prior amplification and some of which do not. Included among known sequencing techniques are those disclosed in US Patents Nos. 5,834,189 and 5,789,168, which are incorporated herein by reference. The primers of the invention can be used in any of these sequencing formats, although the invention is exemplified below using separate amplification and cycle-sequencing steps.

In theory, the selection of primers to amplify and sequence a known region of interest should be straightforward. In fact, however, because of the possibility of primer binding to other sites, complications arising from secondary structure, and other factors which are not fully understood, some primers perform better than others for amplification and sequencing of the same region of interest. The present invention provides primers which have been optimized for the amplification and sequencing of regions associated with each of the ten known types of antibiotic resistance. These primer sets are shown below, along with the sequence of the genes that they are used to analyze. In the gene sequences, the locations of the primers are underlined.

### **Primers**

#### **rpoB (rifampin resistance)**

rpoB-F amplification primer, 20-mer, bp2201-2220

5' TAC GGT CGG CGA GCT GAT CC 3'

SEQ. ID NO. 1

rpoB-R amplification primer, 20-mer, bp2611-2592

5' TAC GGC GTT TCG ATG AAC CC 3'

SEQ ID NO. 2

- 5 -

rpoB-5s sequencing primer, 20-mer, bp2201-2220

5' TAC GGT CGG CGA GCT GAT CC 3'

SEQ ID NO. 3

rpoB-3s sequencing primer, 20-mer, bp2611-2592

5' TAC GGC GTT TCG ATG AAC CC 3'

SEQ ID NO. 4

SEQ. ID. NO. 5

2161 aaaccgacga catcgaccac ttcggcaacc gccgcctgcg tacggtcggc gagctgatcc  
 2221 aaaaccagat ccgggtcggc atgtcgcgga tggagcgggt ggtccgggag cggatgacca  
 2281 cccaggacgt ggaggcgatc acaccgcaga cgttgatcaa catccggccg gtggtcgccg  
 2341 cgatcaagga gttcttcggc accagccagc tgagccaatt catggaccag aacaaccgcg  
 2401 tgtcgggggtt gaccacaaag cgcgcactgt cggcgctggg gcccgggcgt ctgtcacgtg  
 2461 agcgtgccgg gctggaggtc cgcgacgtgc acccgtcgca ctacggccgg atgtgcccga  
 2521 tcgaaacccc tgagggggccc aacatcggtc tgatcggtc gctgtcggtg tacgcgcggg  
 2581 tcaaccggtt cgggttcacg gaaacgcctg accgcaaggt ggtcgacggc gtgggttagcg

**katG (isoniazid resistance)**

katG-F amplification primer, 20-mer, bp722-741

5' ATG GGG CTG ATC TAC GTG AA 3'

SEQ ID NO. 6

katG-R amplification primer, 20-mer, bp1250-1231

5' GGT GTT CCA GCC AGC GAC GC 3'

SEQ ID NO. 7

katG-5s sequencing primer, 20-mer, bp722-741

5' ATG GGG CTG ATC TAC GTG AA 3'

SEQ ID NO. 8

katG-3s sequencing primer, 20-mer, bp1250-1231

5' GGT GTT CCA GCC AGC GAC GC 3'

SEQ ID NO. 9

SEQ ID NO. 10

661 gctcggcgat gagcggtaca gcggtaagcg ggatctggag aaccgctgg ccgcggtgca  
 721 gatggggctg atctacgtga acccgaggag gccgaacggc aaccggacc ccatggccgc  
 781 ggcggctgac attcgcgaga cgtttcggcg catggccatg aacgacgtcg aaacagcggc  
 841 gctgatcgtc ggcggtcaca ctttcggtaa gaccatggc gccggcccg ccatctggt  
 901 cgccccgaa cccgaggctg ctccgctgga gcagatgggc ttgggctgga agagctcgta  
 961 tggcaccgga accggtaagg acgcgatcac cagcggcatc gaggtcgat ggacgaacac  
 1021 cccgacgaaa tgggacaaca gtttctcga gatcctgtac ggctacgagt gggagctgac

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1081 gaagagccct gctggcgctt ggcaatacac cgccaaggac ggcgccggtg ccggcaccat  
 1141 cccggaccgg ttcggcgggc cagggcgctc cccgacgatg ctggccactg acctctcgct  
 1201 gcgggtggat ccgatctatg agcggatcac ~~gcgtcgctgg ctggaacacc~~ ccgaggaatt  
 1261 ggccgacgag ttcgccaagg cctggtacaa gctgatccac cgagacatgg gtcccgttgc

**oxyR-aphC intergenic region (PR)**

PR-F amplification primer, 20-mer, bp451-470

5' ACC ACT GCT TTG CCG CCA CC 3'

SEQ ID NO. 11

PR-R amplification primer, 20-mer, bp687-668

5' CCG ATG AGA GCG GTG AGC TG 3'

SEQ ID NO. 12

PR-5s sequencing primer, 20-mer, bp451-470

5' ACC ACT GCT TTG CCG CCA CC 3'

SEQ ID NO. 13

PR-3s sequencing primer, 20-mer, bp687-668

5' CCG ATG AGA GCG GTG AGC TG 3'

SEQ ID NO. 14

**SEQ ID NO. 15**

361 atgccctggg ggtgcaccga gaccggcttc cgaccaccgc tcgccgcaac gtcgactggc  
 421 tcatatcgag aatgcttgcg gcaactgctga ~~accactgctt tggcgccacc~~ gcggcgaacg  
 481 cggaagccc ggccacggcc ggctagcacc tcttggcggc gatgccgata aatatggtgt  
 541 gatatatcac ctttgctga cagcgacttc acggcacgat ggaatgtcgc aaccaaagtc  
 601 attgtccgct ttgatgatga ggagagtcat gccactgcta accattggcg atcaattccc  
 661 cgcctaccag ~~ctcaccgctc tcatcgcgcg~~ tgacctgtcc aaggtcgacg ccaagcagcc  
 721 cggcgactac ttcaccacta tcaccagtga cgaacaccca ggcaagtggc ggggtggtgt

**mabA (isoniazid resistance)**

mabA-F amplification primer, 20-mer, bp56-75

5' CCT CGC TGC CCA GAA AGG GA 3'

SEQ ID NO. 16

mabA-R amplification primer, 20-mer, bp303-284

5' ATC CCC CGG TTT CCT CCG GT 3'

SEQ ID NO. 17

mabA-5s sequencing primer, 20-mer, bp56-75

5' CCT CGC TGC CCA GAA AGG GA 3'

SEQ ID NO. 18

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mabA-3s sequencing primer, 20-mer, bp303-284

5' ATC CCC CGG TTT CCT CCG GT 3'

SEQ ID NO. 19

SEQ ID NO. 20

1 agcgcgacat acctgctgcg caattcgtag ggcgtcaata caccgcgagc cagggcctcg  
 61 ctgcccagaa agggatccgt catggtcgaa gtgtgctgag tcacaccgac aaacgtcacg  
 121 agcgtaaccc cagtgcgaaa gttcccgcgc gaaatcgag ccacgttacg ctgctggaca  
 181 taccgatttc ggcccggccg cggcgagacg ataggttgtc ggggtgactg ccacagccac  
 241 tgaaggggccc aaacccccat tcgtatcccg ttcagtcttg gttaccggag gaaaccgggg  
 301 gatcgggctg gcgacgcac agcggctggc tgccgacggc cacaaggtgg ccgtcaccca

**rpsL/s12 (streptomycin resistance)**

s12-F amplification primer, 20-mer, bp1-20

5' CGG TAG ATG CCA ACC ATC CA 3'

SEQ ID NO. 21

s12-R amplification primer, 20-mer, bp384-365

5' GCA TCA GCC CTT CTC CTT CT 3'

SEQ ID NO. 22

s12-5s sequencing primer, 20-mer, bp1-20

5' CGG TAG ATG CCA ACC ATC CA 3'

SEQ ID NO. 23

s12-3s sequencing primer, 20-mer, bp384-365

5' GCA TCA GCC CTT CTC CTT CT 3'

SEQ ID NO. 24

SEQ ID NO. 25

1 cggtagatgc caaccatcca gcagctggtc cgcaagggtc gtcgggacaa gatcagtaag  
 61 gtcaagaccg cggctctgaa gggcagcccg cagcgtcgtg gtgtatgcac ccgcgtgtac  
 121 accaccactc cgaagaagcc gaactcggcg ctccggaagg ttgcccgcgt gaagttgacg  
 181 agtcaggctg aggtcacggc gtacattccc ggcgagggcc acaacctgca ggagcactcg  
 241 atggtgctgg tgccggcgcg ccgggtgaag gacctgctg gtgtgcgcta caagatcatc  
 301 cgcggttcgc tggatacgca ggggtgtcaag aaccgcaaac aggcacgcag ccgttacggc  
 361 gctaagaagg agaagggtg atgccacgca aggggcccgc gcccaagcgt ccgttggtca

**16S/rrs (streptomycin resistance)**

16S-F amplification primer, 21-mer, bp5-25

5' GGT GAT CTG CCC TGC ACT TCG 3'

SEQ ID NO. 26

16S-R amplification primer, 21-mer, bp147-127

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5' CGT CAC CCC ACC AAC AAG CTG 3'

SEQ ID NO. 27

16S-5s sequencing primer, 21-mer, bp5-25

5' GGT GAT CTG CCC TGC ACT TCG 3'

SEQ ID NO. 28

16S-3s sequencing primer, 21-mer, bp147-127

5' CGT CAC CCC ACC AAC AAG CTG 3'

SEQ ID NO. 29

SEQ ID NO. 30

1 cgtgggtgat ctgccctgca cttcgggata agcctgggaa actgggtcta ataccggata  
61 ggaccacggg atgcatgtct tgtggtggaa agcgctttag cggtgtggga tgagcccgcg  
121 gcctatcagc ttgttgggtgg ggtgacg

**embB (ethambutol resistance)**

embB-F amplification primer, 21-mer, bp7761-7781

5' CGG CAA GCT GGC GCA CCT TCA 3'

SEQ ID NO. 31

embB-R amplification primer, 21-mer, bp8040-8020

5' AGC CAG CAC ACT AGC CCG GCG 3

SEQ ID NO. 32

embB-5s sequencing primer, 21-mer, bp7761-7781

5' CGG CAA GCT GGC GCA CCT TCA 3'

SEQ ID NO. 33

embB-3s sequencing primer, 21-mer, bp8040-8020

5' AGC CAG CAC ACT AGC CCG GCG 3

SEQ ID NO. 34

SEQ ID NO. 35

7741 cggcatgcgc cggtgatcc ~~cggcaagctg ggcacacctc accctgaccg acgccgtggt~~  
7801 gatattcggc ttctgtctct ggcatgtcat cggcgcgaaat tcgtcggacg acggctacat  
7861 cctgggcatg gcccgagtcg ccgaccacgc cggtacatg tccaactatt tccgctgggt  
7921 cggcagcccc gaggatccct tcggctggta ttacaacctg ctggcgctga tgacccatgt  
7981 cagcgacgcc agtctgtgga tgcgcctgcc agacctggcc ~~gccgggctag tgtgctggct~~

**pncA (pyrazinamide resistance)**

pncA-F amplification primer, 20-mer, bp1-20

5' ATG CGG GCG TTG ATC ATC GT 3'

SEQ ID NO. 36



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pncA-F amplification primer, 20-mer, bp561-542

5' TCA GGA GCT GCA AAC CAA CT 3'

SEQ ID NO. 37

pncA-5s sequencing primer, 20-mer, bp1-20

5' ATG CGG GCG TTG ATC ATC GT 3'

SEQ ID NO. 38

pncA-3s sequencing primer, 20-mer, bp561-542

5' TCA GGA GCT GCA AAC CAA CT 3'

SEQ ID NO. 39

SEQ ID NO. 40

1 atgcgggctg tgatcatcgt cgacgtgcag aacgacttct gcgaggggtgg ctgctggcg  
 61 gtaaccgggtg gcgccgcgct ggcccgcgcc atcagcgcact acctggccga agcggcggac  
 121 taccatcacg tcgtggcaac caaggacttc cacatcgacc cgggtgacca cttctccggc  
 181 acaccggact attcctcgtc gtggccaccg cattgcgtca gcggtactcc cggcgcggac  
 241 ttccatccca gtctggacac gtcggcaatc gaggcgggtgt tctacaaggg tgcctacacc  
 301 ggagcgtaca gcggcttcga aggagtcgac gagaacggca cgccactgct gaattggctg  
 361 cggcaacgcg gcgtcgatga ggtcgatgtg gtcggatttg ccaccgatca ttgtgtgcgc  
 421 cagacggccg aggacgcggt acgcaatggc ttggccacca ggggtgctggt ggacctgaca  
 481 gcgggtgtgt cggccgatac caccgtcgcc gcgctggagg agatgcgcac cgccagcgtc  
 541 gagttgggtt gcagctcctg a

**gyrA (fluoroquinilone/ciprofloxacin resistance)**

gyrA-F amplification primer, 20-mer, bp2383-2402

5' CAG CTA CAT CGA CTA TGC GA 3'

SEQ ID NO. 41

gyrA-R amplification primer, 20-mer, bp2702-2683

5' GGG CTT CGG TGT ACC TCA TC 3'

SEQ ID NO. 42

gyrA-5s sequencing primer, 20-mer, bp2383-2402

5' CAG CTA CAT CGA CTA TGC GA 3'

SEQ ID NO. 43

gyrA-3s sequencing primer, 20-mer, bp2702-2683

5' GGG CTT CGG TGT ACC TCA TC 3'

SEQ ID NO. 44

SEQ ID NO. 45

2341 cgaccggatc gaaccgggtg acatcgagca ggagatgcag cgcagctaca tcgactatgc  
 2401 gatgagcgtg atcgtcggcc gcgcgctgcc ggaggtgcgc gacgggctca agcccgtaga  
 2461 tcgccgggtg ctctatgcaa tgttcgattc cggcttccgc ccggaccgca gccacgcca

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2521 gtcggcccg tccggtgccc agaccatggg caactaccac ccgcacggcg acgcgtcgat  
 2581 ctacgacagc ctggtgcgca tggcccagcc ctggtcgctg cgctacccgc tgggtggacgg  
 2641 ccagggcaac ttcggctcgc caggcaatga cccaccggcg gcgatgaggt acaccgaagc  
 2701 ccggctgacc ccgttggcga tggagatgct gagggaaatc gacgaggaga cagtcgattt

**23S (macrolide/azithromycin resistance)**

23S-F amplification primer, 20-mer, bp2444-2463

5' CGA AAT TCC TTG TCG GGT AA 3'

SEQ ID NO. 46

23S-R amplification primer, 20-mer, bp2683-2664

5' GTA TTT CAA CAA CGA CTC CA 3'

SEQ ID NO. 47

23S-5s sequencing primer, 20-mer, bp2444-2463

5' CGA AAT TCC TTG TCG GGT AA 3'

SEQ ID NO. 48

23S-3s sequencing primer, 20-mer, bp2683-2664

5' GTA TTT CAA CAA CGA CTC CA 3'

SEQ ID NO. 49

## SEQ ID NO. 50

2401 gccccagtaa acggcgggtgg taactataac catcctaagg tagcgaatt ccttgcggg  
 2461 taagttccga cctgcacgaa tggcgtaacg acttcccaac tgtctcaacc atagactcgg  
 2521 cgaaattgca ctacgagtaa agatgctcgt tacgcgcggc aggacgaaaa gaccccgga  
 2581 ccttcactac aacttggtat tgggtgttcgg tacggtttgt gtaggatagg tgggagactt  
 2641 tgaagcacag acgccagttt gtgtggagtc gttgttgaat taccactctg atcgtatttg

To facilitate detection of the sequencing products using real-time fluorescence-based electrophoresis apparatus (for example, a Visible Genetics OPENGENE™ sequencer), at least one of the sequencing primers is preferably labeled with a fluorescent label. The label is selected for compatibility with the sequencing apparatus employed, and may be, for example, fluorescein or a cyanine dye such as CY5.0 OR CY5.5.

The primers of the invention are suitably packaged in a kit. This kit will contain individually packaged amplification and sequencing primers sets for each resistance gene to be evaluated by the kit. Thus, the kit of the invention includes at least 4 primers (two amplification and two sequencing primers), and preferably includes the primer sets for a plurality of resistance genes, most preferably the primer sets for all ten resistance genes.

The suitable protocol for the utilization of these primer sets in the evaluation of *M. tuberculosis* in clinical samples utilizes PCR amplification, followed by cycle sequencing. DNA for use in the test is obtained from a sample of sputum (100ul-10ml). The sputum sample is processed according to Standard Infectious Disease/Public Health Laboratory practices (Mycobacteriology Bench Manual, Laboratory Services Branch, December 1997, Ontario Ministry of Health). The sputum sample is homogenized, decontaminated and concentrated. Mycobacterial DNA is prepared directly from a portion of the concentrated cell sediment (100-200ul) using standard DNA extraction methods or commercially available kits.

Amplification of the DNA is performed using the amplification primer sets described above. PCR reagents can be prepared for individual reactions, or may be prepared as a master mix which can be used for multiple tests e.g., 10 PCR reactions. Exemplary combinations of reagents are summarized in the following table.

| PCR mix                 |           | 1 PCR  | 10 PCRs | final conc. / PCR |
|-------------------------|-----------|--------|---------|-------------------|
| genomic DNA             | (20ng/ul) | 1.0ul  |         | 20ng              |
| (~0.5fM)                |           |        |         |                   |
| 10X PCR buffer I        |           | 2.5ul  | 25.0ul  | 1X                |
| 2.5mM dNTP mix          | (1:1:1:1) | 2.5ul  | 25.0ul  | 250uM             |
| DMSO                    |           | 1.3ul  | 13.0ul  | 5%                |
| Taq DNA polymerase (1U) |           | 0.2ul  | 2.0ul   | 1 unit            |
| molecular grade water   |           | 16.5ul |         | 165.0ul           |
| MTB gene primers        | (10uM)    | 1.0ul  | 10.0ul  | 10pmol per primer |
| total volume per PCR    |           | 25.0ul |         |                   |

If the master mix as shown in the column labeled 10 PCRs is utilized, the mastermix contains all the necessary PCR reagents other than the genomic DNA. In this example, 24.0ul of the mastermix is added to a PCR tube, that already contains 1.0ul of genomic DNA, prior to the addition of the mineral oil overlay and placement in the thermocycler.

The genomic DNA preparation utilized must be of sufficient quality and integrity for robust and reproducible PCR. Suitable DNA preparation can be obtained using

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the Gentra Puregene™ DNA isolation kit. The kit components are appropriate for the isolation of genomic DNA from blood, fresh or frozen tissue, archival material and paraffin-embedded tissue.

Each primer pair is used to amplify a single gene region under the following conditions:

|    |              |      |            |           |
|----|--------------|------|------------|-----------|
| 1. | Denaturation | 94°C | 5 minutes  | 1 cycle   |
| 2. | Denaturation | 94°C | 30 seconds |           |
|    | Annealing    | 60°C | 30 seconds | 35 cycles |
|    | Extension    | 72°C | 60 seconds |           |
| 3. | Extension    | 72°C | 5 minutes  | 1 cycle   |
| 4. | Hold         | 6°C  |            |           |

The temperature change during the cycles of the step 2 is desirably set to ramp at a rate of 1°C/sec.

After amplification, 2.0ul from the 25.0ul PCR is analysed for purity on a 0.8% agarose gel. Samples displaying single PCR product bands can be used directly for sequence analysis. The yield and purity of the PCR product determines the amount to be used in the subsequent cycle sequencing reaction. Comparable verification of sequencing purity is performed on each of the other amplification products.

Sequence analysis is carried out on the amplified product. The basic procedures and conditions are the same for each region. Accordingly, the invention will be exemplified using the rpoB gene.

For initial sequence analysis of rpoB, the rpoB-5s primer should be used. For confirmatory sequence analysis the rpoB-3s primer should be used. For each template to be sequenced, aliquot 3.0ul of each of the nucleotide termination mixes into four separate tubes marked <A>, <C>, <G> and <T> and store on ice until the sequencing mastermix is prepared.

#### Cycle sequencing mastermix

|                           |       |
|---------------------------|-------|
| rpoB template             | 2.0ul |
| 10X VGI Sequenace™ buffer | 2.5ul |

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|                                     |               |
|-------------------------------------|---------------|
| DMSO                                | 3.5ul         |
| 2.5uM dye-sequencing primer         | 2.0ul         |
| PCR grade water                     | 9.0ul         |
| <u>1:10 diluted Thermosequenase</u> | <u>0.5 ul</u> |
| total volume                        | 22.0ul        |

Mix the DMSO and other components in the mastermix well by repeated pipetting (5 times) with a micropipette. Store the mastermix on ice until ready to add to the nucleotide termination mixes.

Add 5.0ul of the mastermix to each of the four marked tubes containing the nucleotide termination mixes.

Add 8.0ul lightweight mineral oil to each of the four marked tubes containing the mastermix and nucleotide termination mixes.

Store on ice until ready to load into the thermocycler.

#### Parameters for cycle sequencing

|    |              |      |            |     |
|----|--------------|------|------------|-----|
| 1. | Denaturation | 94°C | 5 minutes  | 1X  |
| 2. | Denaturation | 94°C | 30 seconds |     |
|    | Annealing    | 60°C | 30 seconds | 35X |
|    | Extension    | 72°C | 60 seconds |     |
| 3. | Extension    | 72°C | 5 minutes  | 1X  |
| 4. | Hold         | 6°C  |            |     |

The temperature change during the cycles of the step 2 is desirably set to ramp at a rate of 1°C/sec.

At the end of the cycle sequencing reaction add 6.0ul of the Stop Loading Dye directly to each of the four tubes to stop the sequencing reaction. The sequencing samples are heated at 95°C for 2 minutes and then placed on ice before loading 2.0ul (from a total volume of 14ul) on the CLIPPER™ sequencer. The remainder of the sequencing reaction can be stored at -20°C for subsequent use.

The CLIPPER™ sequencer is set-up as described in the *OPENGENE Automated DNA Sequencing System User Manual*. Run parameters for the CLIPPER™ sequencer are 54°C/ 1300volts/ 0.5sec sampling/35min run/50% laser power. The samples loaded included 2 ul each of the forward and reverse sequencing reaction products for the target gene, differentially labeled, for example with CY5.0 and CY5.5 cyanine dye labels. Once the run is completed, the base-called data is analysed by comparison of the test sequence to the rpoB sequence database in GENELIBRARIAN™. This sequence alignment compares the test sequence to the standard control sequence and allows sequence ambiguities to be assessed. Once edited the test sequence can be screened for antibiotic resistance-associated mutations using GENELIBRARIAN™.

Testing for multiple types of antibiotic-resistance mutations can be carried out using a hierarchical assay, as summarized in Fig. 2. At present molecular biological methods for the detection of *M. tuberculosis* are only performed on AFB smear-positive sputum samples. These methods serve as confirmatory tests for the presence of *M. tuberculosis*. In addition to these molecular biological methods, the culture-based procedures for *M. tuberculosis* detection (BacTec liquid culture, agar plate and slant cultures) are performed in parallel. AFB smear-negative sputum samples are processed with only the culture-based detection procedures (Figure 1).

In the present invention both AFB smear-positive and smear-negative sputum samples can be processed using both culture-based and molecular biological methods. A limitation of the AFB stain methodology is its limit of detection. If a sputum sample has a mycobacterial concentration of less than 5000 bacteria/ul the AFB stain will be negative. In addition to this is the observation that the decontamination procedure used to prepare the sputum sample usually kills 10-20% of the mycobacteria present. This would suggest that two-thirds of the AFB smear-negative samples potentially contain mycobacteria. In practice 10-20% of the AFB smear-negative samples are culture-positive for *M. tuberculosis* (Ontario Public Health Laboratory). This level of mycobacteria is easily detected by molecular biological methods and is therefore incorporated in the present invention.

The hierarchy proposed incorporates tests that specifically detect *M. tuberculosis* (rpoB), detect mutations in genes associated with resistance to the "first-line" antibiotics used to treat *M. tuberculosis* infections (rpoB, katG, rpsL/s12, PR, embB, pncA)

and detect other species of mycobacteria (23S) in the absence of *M. tuberculosis* (Figure 2). Group I analyses are performed before both Group II and Group III. Group I analysis will provide information on the antibiotic resistance status to rifampin (rpoB), isoniazid (katG), streptomycin (rpsL/s12) and azithromycin (23S). In addition the rpoB amplification indicates the presence of *M. tuberculosis* and in the absence of rpoB amplification the 23S sequence allows identification of most of the clinically relevant mycobacterial species. Group II analysis provides information on antibiotic resistance mutations in the "second-line" antibiotics used to treat *M. tuberculosis* infections namely, isoniazid (PR), ethambutol (embB), pyrazinamide (pncA) and ciprofloxacin (gyrA). Group III contains gene targets in which mutations associated with antibiotic resistance are infrequently found. This protocol permits specific gene targets to be examined according to the local treatment procedures since the both antibiotics used to treat *M. tuberculosis* infections, and thus the associated antibiotic resistance mutation patterns, vary geographically. As shown in Figure 2 the culture-based methods are performed in parallel. The molecular biological methods would permit the identification of *M. tuberculosis* from both AFB smear-positive and smear-negative sputum samples and further provide information on the antibiotic resistance profile of these samples well in advance of current culture-based methods. This information would be crucial to the initiation of appropriate and effective antibiotic treatment regimens for *M. tuberculosis* infections.

### Examples

A pool of DNA samples from antibiotic-sensitive *M. tuberculosis* isolates was obtained from the LCDC, Health and Welfare Canada, Ottawa, Ontario. Wild-type sequence traces, for all gene targets known to harbor mutations in antibiotic-resistant *M. tuberculosis*, were generated.

A panel of DNA samples from five phenotypic streptomycin-resistant *M. tuberculosis* isolates was obtained from the Public Health Laboratory, Ontario Ministry of Health, Toronto, Ontario. These DNA samples were examined for antibiotic resistance-associated mutations in all 10 antibiotic gene targets listed above. Streptomycin resistance-associated mutations were detected in the rpsL/s12 gene in four isolates. Parallel antibiotic resistance-associated mutations in the rpoB (rifampin), katG (isoniazid), PR (isoniazid),

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embB (ethambutol), pncA (pyrazinamide) and gyrA (ciprofloxacin) genes were also identified which underscores the importance of examining all the gene targets for first-line antibiotics used in the treatment of *M. tuberculosis*. A summary of the results is shown in Table 1.

The following references are cited herein and are incorporated herein by reference for all states which allow such incorporation.

- <sup>a</sup> DL Williams et al. (1994). Characterisation of rifampin resistance in pathogenic mycobacteria. *Antimicrob Agents Chemother* 38: 2380-2386.
- <sup>b</sup> WH Haas et al. (1997). Molecular analysis of katG gene mutations in strains of *Mycobacterium tuberculosis* complex from Africa. *Antimicrob Agents Chemother* 41: 1601-1603.
- <sup>c</sup> S Sreevatsan et al. (1997). Analysis of the oxyR-ahpC region in isoniazid-resistant and -susceptible *Mycobacterium tuberculosis* complex organisms recovered from diseased humans and animals in diverse localities. *Antimicrob Agents Chemother* 41: 600-606.
- <sup>d</sup> A Telenti et al. (1994). Genotypic assessment of isoniazid and rifampin resistance in *Mycobacterium tuberculosis*: a blind study at the reference laboratory level. *Antimicrob Agents Chemother* 35: 719-723.
- <sup>e</sup> C Katsukawa et al. (1997). Characterisation of the rpsL and rrs genes of streptomycin-resistant clinical isolates of *Mycobacterium tuberculosis* in Japan. *J Appl Microbiol* 83: 634-640.
- <sup>f</sup> C Katsukawa et al. (1997). Characterisation of the rpsL and rrs genes of streptomycin-resistant clinical isolates of *Mycobacterium tuberculosis* in Japan. *J Appl Microbiol* 83: 634-640.
- <sup>g</sup> MA Lety et al. (1997). A single point mutation in the embB gene is responsible for resistance to ethambutol in *Mycobacterium smegmatis*. *Antimicrob Agents Chemother* 41: 2629-2633.
- <sup>h</sup> A Scorpio et al. (1997). Characterisation of pncA mutations in pyrazinamide-resistant *Mycobacterium tuberculosis*. *Antimicrob Agents Chemother* 41: 540-543.



**C Xu et al. (1996). Fluoroquinilone resistance associated with specific gyrase mutations in clinical isolates of multidrug-resistant Mycobacterium tuberculosis. J Infect Disease 174: 1127-1130.**

**KA Nash et al. (1995). Genetic basis of macrolide resistance in Mycobacterium avium isolated from patients with disseminated disease. Antimicrob Agents Chemother 39: 2625-2630.**

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## Table 1

| gene (antibiotic)        | OPH#1<br>bp/codon/aa | OPH#2<br>bp/codon/aa | OPH#3<br>bp/codon/aa | OPH#4<br>bp/codon/aa | OPH#11<br>bp/codon/aa |
|--------------------------|----------------------|----------------------|----------------------|----------------------|-----------------------|
| rpoB (rifampin)          | cac526tac, His526Tyr | tcg553ttg, Ser553Leu | cac526gac, His526Asp | tcg553ttg, Ser553Leu | wt                    |
| katG.1 (isoniazid)       | agc513acc, Ser513Thr | agc513acc, Ser513Thr | agc513acc, Ser513Thr | wt                   | wt                    |
| oxyR-ahpC PR (isoniazid) | g541a                | wt                   | wt                   | wt                   | g541a                 |
| fabG (isoniazid)         | wt                   | wt                   | wt                   | wt                   | wt                    |
| rpsL/s12 (streptomycin)  | wt                   | aag43agg, Lys43Arg   | aag43agg, Lys43Arg   | aag88agg, Lys88Arg   | aag43agg, Lys43Arg    |
| 16s/rrs (streptomycin)   | wt                   | wt                   | wt                   | wt                   | wt                    |
| embB (ethambutol)        | wt                   | gtc292ttc, val292phe | wt                   | wt                   | wt                    |
| pncA (pyrazinamide)      | lcc65lct, Ser65Ser   | wt                   | att133aat, Ile133Asn | wt                   | lcc65lct, Ser65Ser    |
| gyrA (ciprofloxacin)     | agc95acc, Ser95Thr   | agc95acc, Ser95Thr   | agc95acc, Ser95Thr   | agc95acc, Ser95Thr   | agc95acc, Ser95Thr    |
| 23s (azithromycin)       | wt                   | wt                   | wt                   | wt                   | wt                    |

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CLAIMS

1. A method for detection and characterization of *Mycobacterium tuberculosis* present in a sample, comprising the steps of:
  - (a) obtaining a sputum sample suspected of containing *M. tuberculosis*,
  - (b) performing a first sequencing procedure, with or without prior amplification, on the sample to detect the presence of *M. tuberculosis*, and if present to evaluate the rpoB, katG, rpsL/s12 and 23S genes for the presence of antibiotic-resistance inducing mutations; and
  - (c) if *M. tuberculosis* is detected in step (b), performing a second sequencing procedure, with or without prior amplification, on the sample to evaluate the additional genes for the presence of antibiotic-resistance inducing mutations.
2. The method of claim 1, wherein the second sequencing procedure evaluates PR, embB pncA and gyrA genes for the presence of antibiotic-resistance mutations.
3. The method of claim 3, further comprising the step of performing a third sequencing procedure when *M. tuberculosis* was detected in step (b) to evaluate 16S/rrs and mabA genes for the presence of antibiotic-resistance mutations.
4. The method of any of claims 1 to 3, wherein the first sequencing procedure for rpoB is performed using amplification primers as set forth in Seq. ID Nos. 1 and 2 and sequencing primers as set forth in Seq. ID. Nos. 3 and 4.
5. The method of any of claims 1 to 4, wherein the first sequencing procedure for katG is performed using amplification primers as set forth in Seq. ID Nos. 6 and 7 and sequencing primers as set forth in Seq. ID. Nos. 8 and 9.
6. The method of any of claims 1 to 5, wherein the first sequencing procedure for rpsL/s12 is performed using amplification primers as set forth in Seq. ID Nos. 21 and 22 and sequencing primers as set forth in Seq. ID. Nos. 23 and 24.

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7. The method of any of claims 1 to 6, wherein the second sequencing procedure for 23S is performed using amplification primers as set forth in Seq. ID Nos. 46 and 47 and sequencing primers as set forth in Seq. ID. Nos. 48 and 49.

8. The method of any of claims 1 to 7, wherein the second sequencing procedure for PR is performed using amplification primers as set forth in Seq. ID Nos. 11 and 12 and sequencing primers as set forth in Seq. ID. Nos. 13 and 14.

9. The method of any of claims 1 to 8, wherein the second sequencing procedure for pncA is performed using amplification primers as set forth in Seq. ID Nos. 36 and 37 and sequencing primers as set forth in Seq. ID. Nos. 38 and 39.

10. The method of any of claims 1 to 9, wherein the second sequencing procedure for embB is performed using amplification primers as set forth in Seq. ID Nos. 31 and 32 and sequencing primers as set forth in Seq. ID. Nos. 33 and 34.

11. The method of any of claims 1 to 10, wherein the second sequencing procedure for gyrA is performed using amplification primers as set forth in Seq. ID Nos. 41 and 42 and sequencing primers as set forth in Seq. ID. Nos. 43 and 44.

12. The method of any of claims 2 to 11, wherein the third sequencing procedure for 16S/rrs is performed using amplification primers as set forth in Seq. ID Nos. 26 and 27 and sequencing primers as set forth in Seq. ID. Nos. 28 and 29.

13. The method of any of claims 2 to 12, wherein the third sequencing procedure for mabA is performed using amplification primers as set forth in Seq. ID Nos. 16 and 17 and sequencing primers as set forth in Seq. ID. Nos. 18 and 19.

14. A kit for evaluation of antibiotic-resistance mutations in a sample of *Mycobacterium tuberculosis*, comprising one or more pairs of amplification primers and one or more matched pairs of sequencing primers for amplification and sequencing regions within

the genome of *M. tuberculosis*, characterized in that the amplification and sequencing primer pairs are selected from among:

- (a) amplification primers of Seq. ID Nos. 1 and 2 in combination and sequencing primers of Seq. ID Nos. 3 and 4;
- (b) amplification primers of Seq. ID Nos. 6 and 7 in combination and sequencing primers of Seq. ID Nos. 8 and 9;
- (c) amplification primers of Seq. ID Nos. 11 and 12 in combination and sequencing primers of Seq. ID Nos. 13 and 14;
- (d) amplification primers of Seq. ID Nos. 16 and 17 in combination and sequencing primers of Seq. ID Nos. 18 and 19;
- (e) amplification primers of Seq. ID Nos. 21 and 22 in combination and sequencing primers of Seq. ID Nos. 23 and 24;
- (f) amplification primers of Seq. ID Nos. 26 and 27 in combination and sequencing primers of Seq. ID Nos. 28 and 29;
- (g) amplification primers of Seq. ID Nos. 31 and 32 in combination and sequencing primers of Seq. ID Nos. 33 and 34;
- (h) amplification primers of Seq. ID Nos. 36 and 37 in combination and sequencing primers of Seq. ID Nos. 38 and 39;
- (i) amplification primers of Seq. ID Nos. 41 and 42 in combination and sequencing primers of Seq. ID Nos. 43 and 44; and
- (j) amplification primers of Seq. ID Nos. 46 and 47 in combination and sequencing primers of Seq. ID Nos. 48 and 49.

Figure 1

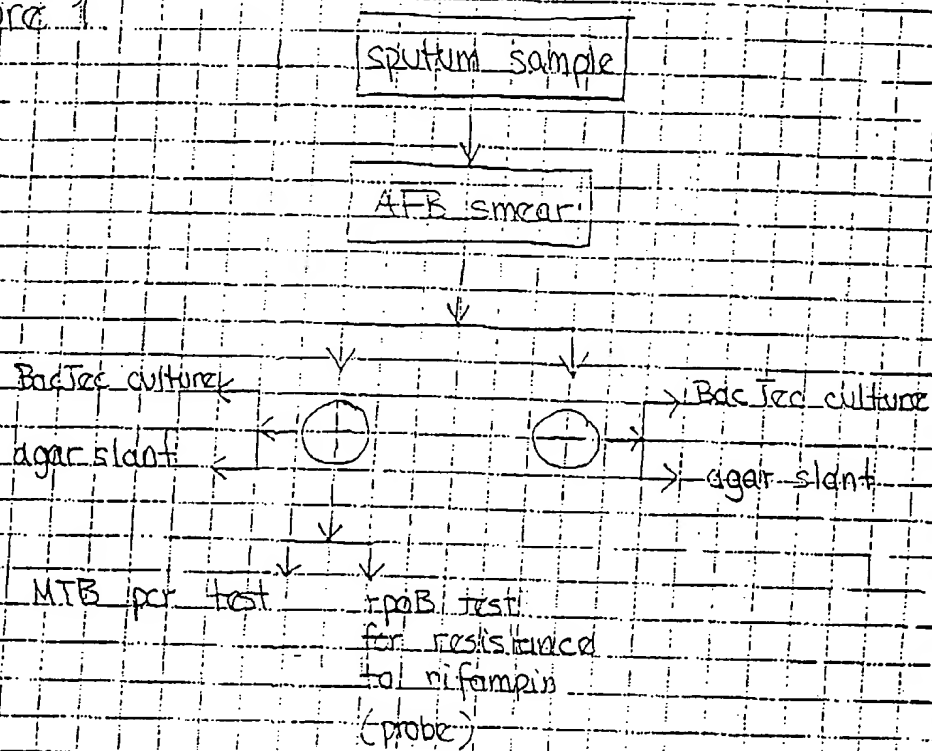
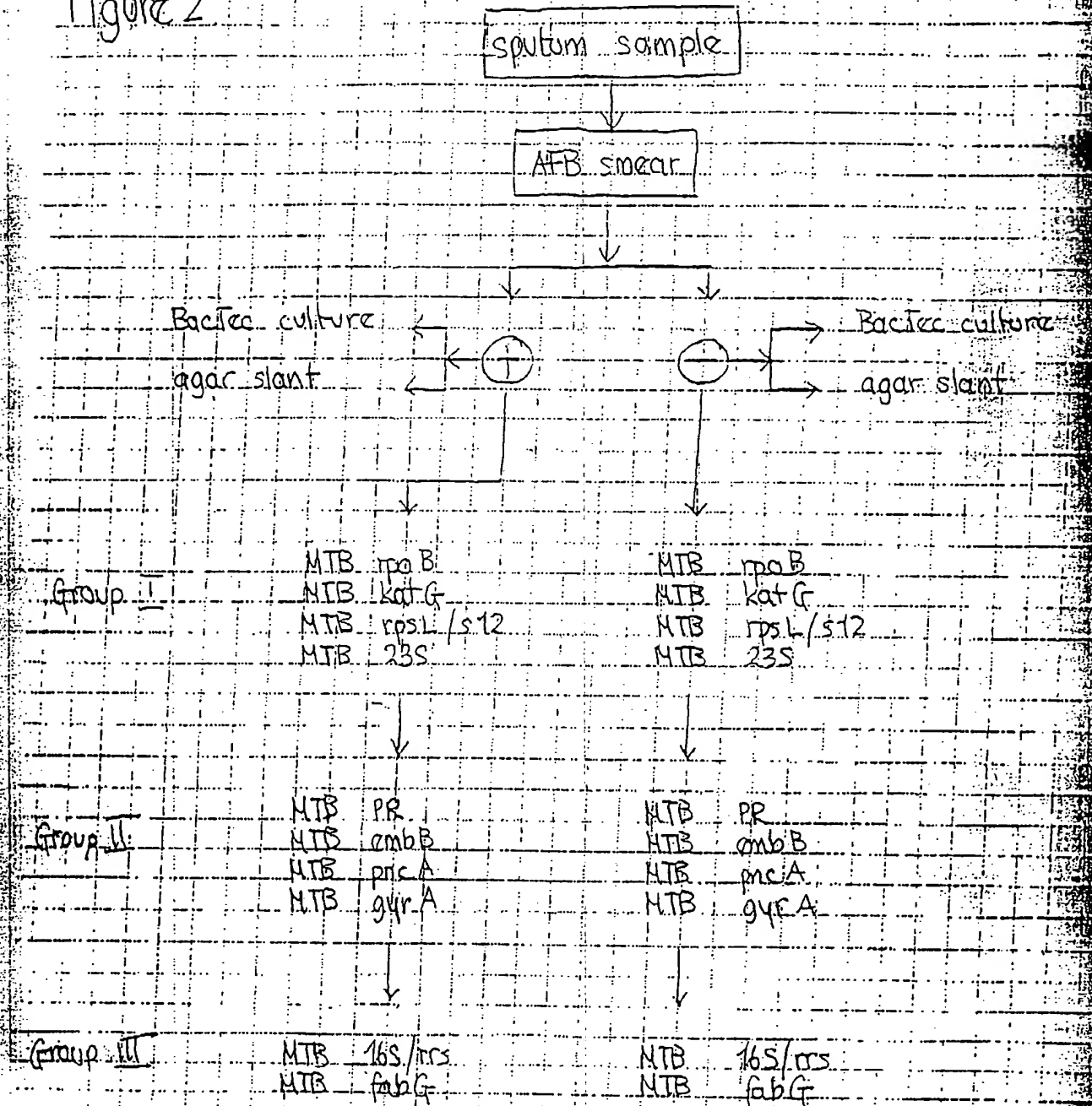


Figure 2



WO 00/36142

PCT/CA99/01177

SEQUENCE LISTING<sup>1</sup>

<110> Visible Genetics Inc.  
Shipman, Robert

<120> Method and Kit for the Characterization of  
Antibiotic-Resistance Mutations in Mycobacterium  
tuberculosis

<130> VGEN.P-055-WO

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<151> 1998-12-11

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<223> rpoB-R amplification primer

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cgatcaagga gttcttcggc accagccagc tgagccaatt catggaccag aacaaccgc 240  
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tcgaaacccc tgaggggccc aacatcggtc tgatcggtc gctgtcggtg tacgcgcggg 420  
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ggcggtcgac attcgcgaga cgttcgggcg catggccatg aacgacgtc aaacagcggc 180  
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cgccctaccag ctaccgctc tcacggcggg tgacctgtcc aaggtcgacg ccaagcagcc 360  
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<211> 20

<212> DNA

<213> Mycobacterium tuberculosis

<220>

<223> fabG-R amplification primer

<400> 17

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20

<210> 18

<211> 20

<212> DNA

<213> Mycobacterium tuberculosis

<220>

<223> fabG-5s sequencing primer

<400> 18

cctcgctgcc cagaaaggga

20

<210> 19

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<212> DNA

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agcgtaaccc cagtgcgaaa gtcccgcgcg gaaatcgag ccacgttacg ctctggaca 180  
taccgatttc ggccggcccg cggcgagacg atagggtgtc ggggtgactg ccacagccac 240  
tgaaggggcc aaacccccat tcgtatccc ttcagtctg gttaccggag gaaaccgggg 300  
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20

&lt;210&gt; 25

&lt;211&gt; 420

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

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agtcaggteg aggtcacggc gtacattccc ggcgagggcc acaacctgca ggagcactcg 240  
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cgcggttcgc tggatacgca ggggtgtcaag aaccgcaaac aggcacgcag ccgttacggc 360  
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&lt;213&gt; Mycobacterium tuberculosis

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21

&lt;210&gt; 27

&lt;211&gt; 21

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

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21

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21

&lt;210&gt; 29

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&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

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21

&lt;210&gt; 30

&lt;211&gt; 147

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis



&lt;220&gt;

&lt;223&gt; 16S/rrs (streptomycin resistance)

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&lt;213&gt; Mycobacterium tuberculosis

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&lt;223&gt; embB-R amplification primer

&lt;400&gt; 32

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21

&lt;210&gt; 33

&lt;211&gt; 21

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

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cggcagcccg gaggatccct tcggctggta ttacaacctg ctggcgctga tgacctatgt 240  
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&lt;212&gt; DNA

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&lt;210&gt; 39

&lt;211&gt; 20

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

&lt;223&gt; pncA-3s sequencing primer

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20

&lt;210&gt; 40

&lt;211&gt; 561

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

&lt;223&gt; pncA (pyrazinamide resistance)

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<213> Mycobacterium tuberculosis

<220>

<223> gyrA-R amplification primer

<400> 42

gggcttcggt gtacctcatc

20

<210> 43

<211> 20

<212> DNA

<213> Mycobacterium tuberculosis

<220>

<223> gyrA-5s sequencing primer

<400> 43

cagctacatc gactatgcga

20

<210> 44

<211> 20

<212> DNA

<213> Mycobacterium tuberculosis

<220>

<223> gyrA-3s sequencing primer

<400> 44

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<212> DNA

<213> Mycobacterium tuberculosis

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<223> gyrA (fluoroquinilone/ciprofloxacin resistance)

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&lt;223&gt; 23S-5s sequencing primer

&lt;400&gt; 48

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20

&lt;210&gt; 49

&lt;211&gt; 20

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cgaaattgca ctacgagtaa agatgctcgt tacgcgcggc aggacgaaaa gaccccgga 180  
ccttcactac aacttggtat tgggttcgg tacggttgt gtaggatagg tgggagactt 240  
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CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



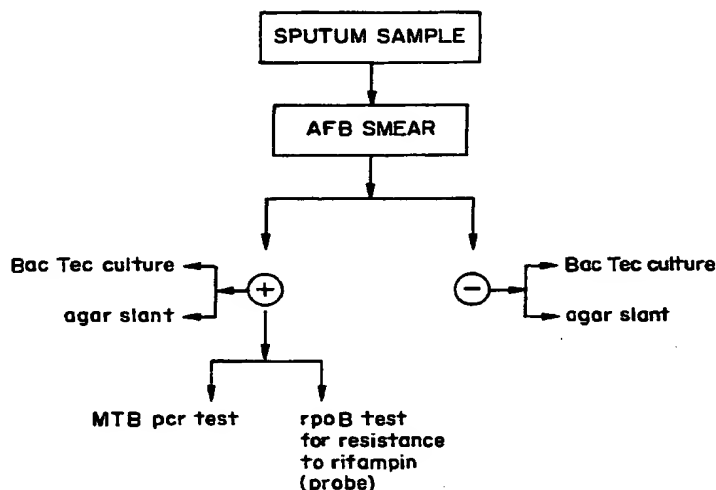
(43) International Publication Date  
22 June 2000 (22.06.2000).

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(10) International Publication Number  
WO 00/36142 A1

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- (21) International Application Number: PCT/CA99/01177
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- (72) Inventor; and (15) Information about Correction: see PCT Gazette No. 28/2001 of 12 July 2001, Section II
- (75) Inventor/Applicant (*for US only*): SHIPMAN, Robert [CA/CA]; #2-6240 Montevideo Road, Mississauga, Ontario L5N 2N7 (CA). For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND KIT FOR THE CHARACTERIZATION OF ANTIBIOTIC-RESISTANCE MUTATIONS IN MYCOBACTERIUM TUBERCULOSIS



(57) Abstract: Amplification and cycle sequencing primer sets have been developed for the detection and analysis of antibiotic resistance-associated mutations in defined regions of the *rpoB* (rifampin), *katG* (isoniazid), *oxyR-ahpC* PR (isoniazid), *mabA* (isoniazid), *rpsL/s12* (streptomycin), *16S/rrs* (streptomycin), *embB* (ethambutol), *pncA* (pyrazinamide), *gyrA* (ciprofloxacin) and *23S* (azithromycin) genes of *Mycobacterium tuberculosis*. These primers can be used in a method for detection and characterization of *Mycobacterium tuberculosis* present in a sample. The method includes the steps of obtaining a sputum sample suspected of containing *M. tuberculosis*, performing a first sequencing procedure, with or without prior amplification, on the sample to detect the presence of *M. tuberculosis*, and if present to evaluate the *rpoB*, *katG*, *rpsL/s12* and *23S* genes for the presence of antibiotic-resistance inducing mutations; and (c) if *M. tuberculosis* is detected in step (b), performing a second sequencing procedure, with or without prior amplification, on the sample to evaluate the additional genes for the presence of antibiotic-resistance inducing mutations.

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**METHOD AND KIT FOR THE CHARACTERIZATION OF  
ANTIBIOTIC-RESISTANCE MUTATIONS IN  
MYCOBACTERIUM TUBERCULOSIS**

**DESCRIPTION**

Field of the Invention

This application relates to a method and kit for the characterization of antibiotic resistance mutations in *Mycobacterium tuberculosis*, and particularly to the evaluation of such mutations in clinical samples.

Background of the Invention

*M. tuberculosis* can be resistant to all antibiotics that are currently used to treat tuberculosis patients. Antibiotic resistance is due to acquired point mutations in target genes in the genome of *M. tuberculosis*. These point mutations render the organism insensitive to the action of the antibiotic by preventing its uptake or activation, or by altering the antibiotic target. The observed antibiotic resistance in *M. tuberculosis* is not due to an episome-encoded resistance gene transferred from one strain to another and, like other bacteria, is single-step (one point mutation), high-level resistance.

Rapid and accurate detection of antibiotic resistance in *Mycobacterium tuberculosis* in sputum samples would greatly improve both patient treatment and outcome. Presently, analysis of *M. tuberculosis* is carried out on DNA recovered from sputum samples handled according to Standard Infectious Disease/Public Health Laboratory practices. The sputum sample is decontaminated and a cell sediment isolated. This cell sediment is the sample source for all routine procedures used in the detection and isolation of *M. tuberculosis*. Portions of this sample are used in BacTec cultures for selective growth of *M. tuberculosis*, agar plate/agar slant cultures for *M. tuberculosis*, acid-fast bacilli (AFB) smears for mycobacteria detection and molecular biological methods for the detection of *M. tuberculosis* and atypical mycobacteria. (See Fig. 1)

Mycobacterial DNA is prepared directly from the decontaminated sputum cell sediments according to standard procedures and this mycobacterial DNA is used in the various molecular biological detection procedures. The methods presently in use for the detection of *M. tuberculosis* are either PCR-based or probe-based. These tests are used



primarily on AFB smear-positive samples. Since the presence of *M. tuberculosis* has already been established by the AFB smear, these tests are used primarily in a confirmatory capacity as opposed to a diagnostic capacity. Furthermore, these tests provide no information on the potential antibiotic resistance of these *M. tuberculosis* samples.

Below is a list of antibiotics used to treat *M. tuberculosis* infections. The gene target of the specific antibiotic and regions associated with antibiotic resistance are listed, if known. The references on which the codon assignments are based are listed at the end of the application.

|     |               |                                  |  |
|-----|---------------|----------------------------------|--|
| 1.  | Rifampin      | rpoB gene                        | codon 507-533a                           |
| 2.  | Isoniazid     | katG gene                        | codon 275/315/328b                       |
| 3.  | Isoniazid     | mabA gene                        | unknown c                                |
| 4.  | Isoniazid     | oxyR-ahpC intergenic region (PR) | nucleotides -48 to +33                   |
| 5.  | Azithromycin  | 23S rRNA sequence                | nucleotide 2568A e                       |
| 6.  | Pyrazinamide  | pncA gene                        | codon 47/85 f                            |
| 7.  | Ethambutol    | embB gene                        | codon 306 g                              |
| 8.  | Streptomycin  | rpsL/s12 gene                    | codon 43/88 h                            |
| 9.  | Streptomycin  | 16S/rrs sequence                 | nucleotides 491, 512, 516, 513, 903, 904 |
| 10. | Ciprofloxacin | gyrA gene                        | codon 88-95 j                            |

Probe-based tests do exist for the determination of rifampin resistance in *M. tuberculosis* (line probe assay-InnoTek), but these probes rely on prior knowledge of antibiotic resistance-associated mutations in the rpoB gene. Mutations outside the region covered by the probe or new mutations not included in the probe cocktail could still confer resistance, but would not be detected using this product in its present form.

Thus, there remains a need for a method for detecting antibiotic-resistance mutations in clinical *M. tuberculosis* sputum samples which is capable of detecting mutations in all of the gene targets which confer antibiotic resistance. It is an object of the present invention to provide such a method. It is a further object of this invention to provide amplification and cycle sequencing primer sets, and kits containing such primer sets, for use in the characterization of antibiotic resistance mutations in *M. tuberculosis*.

#### Summary of Invention

Amplification and cycle sequencing primer sets have been developed for the detection and analysis of antibiotic resistance-associated mutations in defined regions of the *rpoB* (rifampin), *katG* (isoniazid), *oxyR-ahpC* PR (isoniazid), *mabA* (isoniazid), *rpsL/sl2* (streptomycin), *16S/rrs* (streptomycin), *embB* (ethambutol), *pncA* (pyrazinamide), *gyrA* (ciprofloxacin) and *23S* (azithromycin) genes. Using these primer sets and the OPENGENE™ automated DNA sequencing system, a protocol has been developed which permits both the rapid detection of *M. tuberculosis* and the identification of antibiotic resistance-associated mutations in a series of gene targets. The present invention uses a series of tests designed to detect antibiotic resistance-associated mutation in all gene targets for all antibiotics presently in use for the treatment of tuberculosis. The tests are employed in a hierarchical manner on both AFB smear-positive or smear-negative samples to determine both the presence and antibiotic-resistance of *M. tuberculosis* in a given sample. This method permits the simultaneous determination of *M. tuberculosis* presence in a sample and the antibiotic-resistance profile to an entire panel of antibiotics. Standard methods require from 2-6 weeks to culture *M. tuberculosis* and additional time to establish antibiotic resistance. Although DNA sequence-based (genotypic) tests are not intended to replace the traditional culture-based (phenotypic) methods, these tests do represent a rapid, sensitive and accurate protocol which provides clinicians with valuable information regarding antibiotic treatment options within days as opposed to weeks.

#### Brief Description to the Figures

Fig. 1 shows known testing protocols for *M. tuberculosis*; and

Fig. 2 shows a hierarchical assay scheme for evaluating *M. tuberculosis* type in accordance with the invention.

#### Detailed Description of the Invention

In accordance with the invention, regions of the genome of *M. tuberculosis* associated with antibiotic resistance are amplified and sequenced using specifically designed amplification and sequencing primers. Various techniques for amplification are known, including the basic PCR amplification techniques described in US Patent No. 4,683,202, which is incorporated herein by reference. Similarly, various techniques for sequencing are known, some of which require prior amplification and some of which do not. Included among known sequencing techniques are those disclosed in US Patents Nos. 5,834,189 and 5,789,168, which are incorporated herein by reference. The primers of the invention can be used in any of these sequencing formats, although the invention is exemplified below using separate amplification and cycle-sequencing steps.

In theory, the selection of primers to amplify and sequence a known region of interest should be straightforward. In fact, however, because of the possibility of primer binding to other sites, complications arising from secondary structure, and other factors which are not fully understood, some primers perform better than others for amplification and sequencing of the same region of interest. The present invention provides primers which have been optimized for the amplification and sequencing of regions associated with each of the ten known types of antibiotic resistance. These primer sets are shown below, along with the sequence of the genes that they are used to analyze. In the gene sequences, the locations of the primers are underlined.

#### **Primers**

##### **rpoB (rifampin resistance)**

rpoB-F amplification primer, 20-mer, bp2201-2220

5' TAC GGT CGG CGA GCT GAT CC 3'

SEQ. ID NO. 1

rpoB-R amplification primer, 20-mer, bp2611-2592

5' TAC GGC GTT TCG ATG AAC CC 3'

SEQ ID NO. 2

5

rpoB-5s sequencing primer, 20-mer, bp2201-2220

5' TAC GGT CGG CGA GCT GAT CC 3'

SEQ ID NO. 3

rpoB-3s sequencing primer, 20-mer, bp2611-2592

5' TAC GGC GTT TCG ATG AAC CC 3'

SEQ ID NO. 4

SEQ. ID. NO. 5

2161 aaaccgacga catcgaccac ttcggcaacc gccgcctgcg tacggtcggc gagctgatcc  
 2221 aaaaccagat ccgggtcggc atgtcgcgga tggagcgggt ggtccgggag cggatgacca  
 2281 cccaggacgt ggaggcgatc acaccgcaga cgttgatcaa catccggccg gtggtcgccg  
 2341 cgatcaagga gttcttcggc accagccagc tgagccaatt catggaccag aacaacccgc  
 2401 tgtcgggggt gaccacaag cgccgactgt cggcgtggg gcccggcggt ctgtcacgtg  
 2461 agcgtgccgg gctggaggtc cgcgacgtgc acccgtcgca ctacggccgg atgtgccga  
 2521 tcgaaacccc tgagggggccc aacatcggtc tgatcggctc gctgtcggtg tacgcgcggg  
 2581 tcaaccggtt cgggttcac gaaacgccgt accgcaaggt ggtcgacggc gtggttagcg

**katG (isoniazid resistance)**

katG-F amplification primer, 20-mer, bp722-741

5' ATG GGG CTG ATC TAC GTG AA 3'

SEQ ID NO. 6

katG-R amplification primer, 20-mer, bp1250-1231

5' GGT GTT CCA GCC AGC GAC GC 3'

SEQ ID NO. 7

katG-5s sequencing primer, 20-mer, bp722-741

5' ATG GGG CTG ATC TAC GTG AA 3'

SEQ ID NO. 8

katG-3s sequencing primer, 20-mer, bp1250-1231

5' GGT GTT CCA GCC AGC GAC GC 3'

SEQ ID NO. 9

SEQ ID NO. 10

661 gctcggcgat gagcgttaca gcggtaaagc ggatctggag aaccgctgg ccgcggtgca  
 721 gatggggctg atctacgtga acccgaggag gccgaacggc aaccggacc ccatggccgc  
 781 ggcggtcgac attcgcgaga cgttcggcg catggccatg aacgacgtc aaacagcggc  
 841 gctgatcgtc ggcggtcaca ctttcggtaa gaccatggc gccggcccg ccatctggt  
 901 cggccccgaa cccgaggctg ctccgctgga gcagatgggc ttgggctgga agagctcgta  
 961 tggcaccgga accggttaagg acgcgatcac cagcggcatc gaggtcgtat ggacgaacac  
 1021 cccgacgaaa tgggacaaca gtttctcga gatcctgtac ggctacgagt gggagctgac

6

1081 gaagagccct gctggcgctt ggcaatacac cgccaaggac ggcgccggtg ccggcaccat  
 1141 cccggaccg ttcggcgggc cagggcgctc cccgacgatg ctggccactg acctctcgt  
 1201 gcgggtggat ccgatctatg agcggatcac gcgtcgctgg ctggaacacc ccgaggaatt  
 1261 ggccgacgag ttcgccaagg cctggtacaa gctgatccac cgagacatgg gtcccgttgc

**oxyR-aphC intergenic region (PR)**

PR-F amplification primer, 20-mer, bp451-470

5' ACC ACT GCT TTG CCG CCA CC 3'

SEQ ID NO. 11

PR-R amplification primer, 20-mer, bp687-668

5' CCG ATG AGA GCG GTG AGC TG 3'

SEQ ID NO. 12

PR-5s sequencing primer, 20-mer, bp451-470

5' ACC ACT GCT TTG CCG CCA CC 3'

SEQ ID NO. 13

PR-3s sequencing primer, 20-mer, bp687-668

5' CCG ATG AGA GCG GTG AGC TG 3'

SEQ ID NO. 14

SEQ ID NO. 15

361 atgccctggg ggtgcaccga gaccggcttc cgaccaccgc tcgccgaac gtcgactggc  
 421 tcatactgag aatgcttgcg gcaactgctga accactgctt tgcggccacc gcggcgaacg  
 481 cgcgaaagccc ggccacggcc ggctagcacc tcttggcggc gatgccgata aatatggtgt  
 541 gatatatcac ctttgctga cagcgacttc acggcagcat ggaatgtcgc aaccaaagtc  
 601 attgtccgct ttgatgatga ggagagtcat gccactgcta accattggcg atcaattccc  
 661 cgcctaccag ctcaccgctc tcacggcggg tgacctgtcc aaggctcagc ccaagcagcc  
 721 cgcgactac ttcaccacta tcaccagtga cgaacaccca ggcaagtggc ggggtggtgtt  
**mabA (isoniazid resistance)**

mabA-F amplification primer, 20-mer, bp56-75

5' CCT CGC TGC CCA GAA AGG GA 3'

SEQ ID NO. 16

mabA-R amplification primer, 20-mer, bp303-284

5' ATC CCC CGG TTT CCT CCG GT 3'

SEQ ID NO. 17

mabA-5s sequencing primer, 20-mer, bp56-75

5' CCT CGC TGC CCA GAA AGG GA 3'

SEQ ID NO. 18

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mabA-3s sequencing primer, 20-mer, bp303-284

5' ATC CCC CGG TTT CCT CCG GT 3'

SEQ ID NO. 19

SEQ ID NO. 20

1 agcgcgacat acctgctgcg caattcgtag ggcgtaata caccgcgagc cagggcctcg  
61 ctgcccagaa agggatccgt catggtcgaa gtgtgctgag tcacaccgac aaacgtcacg  
121 agcgtaaccc cagtgcgaaa gtccccgccg gaaatcgag ccacgttacg ctcgtggaca  
181 taccgatttc ggcccggccg cggcgagacg atagggtgtc ggggtgactg ccacagccac  
241 tgaaggggcc aaacccccat tcgtatcccg ttacgtcctg gttaccggag gaaaccgggg  
301 gatcgggctg gcgatcgac agcggctggc tgccgacggc cacaagggtg ccgtcaccca

### rpsL/s12 (streptomycin resistance)

s12-F amplification primer, 20-mer, bp1-20

5' CGG TAG ATG CCA ACC ATC CA 3'

SEQ ID NO. 21

s12-R amplification primer, 20-mer, bp384-365

5' GCA TCA GCC CTT CTC CTT CT 3'

SEQ ID NO. 22

s12-5s sequencing primer, 20-mer, bp1-20

5' CGG TAG ATG CCA ACC ATC CA 3'

SEQ ID NO. 23

s12-3s sequencing primer, 20-mer, bp384-365

5' GCA TCA GCC CTT CTC CTT CT 3'

SEQ ID NO. 24

SEQ ID NO. 25

1 cggtagatgc caaccatcca gcagctggc cgcaagggtc gtcgggacaa gatcagtaag  
61 gtcaagaccg cggtctgaa gggcagcccg cagcgtcgtg gtgtatgcac ccgcgtgtac  
121 accaccactc cgaagaagcc gaactcggcg ctccggaagg ttgcccgcgt gaagttgacg  
181 agtcaggctg aggtcacggc gtacattccc ggcgagggcc acaacctgca ggagcactcg  
241 atggtgctgg tgcgcggcgg ccgggtgaag gacctgcctg gtgtgcgta caagatcatc  
301 cgcggttcgc tggatacgca ggggtgcaag aaccgcaaac aggcacgcag ccgttacggc  
361 gctaagaagg agaagggtg atgccacgca aggggcccgc gcccaagcgt ccgttggtca

### 16S/rrs (streptomycin resistance)

16S-F amplification primer, 21-mer, bp5-25

5' GGT GAT CTG CCC TGC ACT TCG 3'

SEQ ID NO. 26

16S-R amplification primer, 21-mer, bp147-127

5' CGT CAC CCC ACC AAC AAG CTG 3'

SEQ ID NO. 27

16S-5s sequencing primer, 21-mer, bp5-25

5' GGT GAT CTG CCC TGC ACT TCG 3'

SEQ ID NO. 28

16S-3s sequencing primer, 21-mer, bp147-127

5' CGT CAC CCC ACC AAC AAG CTG 3'

SEQ ID NO. 29

SEQ ID NO. 30

1 cgtgggtgat ctgccctgca ctccgggata agcctgggaa actgggtcta ataccggata  
61 ggaccacggg atgcatgtct tgtgtggaa agcgcttag cgggtgtgga tgagcccgcg  
121 gcctatcagc ttgttggtgg ggtgacg

#### **embB (ethambutol resistance)**

embB-F amplification primer, 21-mer, bp7761-7781

5' CGG CAA GCT GGC GCA CCT TCA 3'

SEQ ID NO. 31

embB-R amplification primer, 21-mer, bp8040-8020

5' AGC CAG CAC ACT AGC CCG GCG 3'

SEQ ID NO. 32

embB-5s sequencing primer, 21-mer, bp7761-7781

5' CGG CAA GCT GGC GCA CCT TCA 3'

SEQ ID NO. 33

embB-3s sequencing primer, 21-mer, bp8040-8020

5' AGC CAG CAC ACT AGC CCG GCG 3'

SEQ ID NO. 34

SEQ ID NO. 35

7741 cggcatgcgc cggctgattc cggcaagctg gcgcaccttc accctgaccg acgccgtggt  
7801 gatattcggc ttctgtctct ggcatgtcat cggcgcgaat tcgtcggacg acggctacat  
7861 cctgggcatg gcccagatcg ccgaccacgc cggctacatg tccaactatt tccgtggtt  
7921 cggcagcccg gaggatccct tcggctggtg ttacaacctg ctggcgctga tgacccatgt  
7981 cagcgacgcc agtctgtgga tgcgcctgcc agacctggcc gccgggctag tctcctggct

#### **pncA (pyrazinamide resistance)**

pncA-F amplification primer, 20-mer, bp1-20

5' ATG CGG GCG TTG ATC ATC GT 3'

SEQ ID NO. 36

pncA-F amplification primer, 20-mer, bp561-542

5' TCA GGA GCT GCA AAC CAA CT 3'

SEQ ID NO. 37

pncA-5s sequencing primer, 20-mer, bp1-20

5' ATG CGG GCG TTG ATC ATC GT 3'

SEQ ID NO. 38

pncA-3s sequencing primer, 20-mer, bp561-542

5' TCA GGA GCT GCA AAC CAA CT 3'

SEQ ID NO. 39

SEQ ID. NO. 40

1 atcgggcggt tgatcatcgt cgacgtgcag aacgacttct gcgagggtgg ctgctggcg  
61 gtaaccggtg gcgccgcgct gggccgcgcc atcagcgact acctggccga agcggcggac  
121 taccatcacg tcgtggcaac caaggacttc cacatcgacc cgggtgacca ctctccggc  
181 acaccggact attcctcgtc gtggccaccg cattgcgtca gcggtactcc cggcgcggac  
241 ttccatccca gtctggacac gtcggcaatc gaggcggtgt tctacaaggg tgcctacacc  
301 ggagcgtaca gcggcttcga aggagtcgac gagaacggca cgccactgct gaattggctg  
361 cggcaacgcg gcgtcgatga ggtcgatgtg gtcggtattg ccaccgatca ttgtgtgcgc  
421 cagacggccg aggacgcggt acgcaatggc ttggccacca ggggtctggt ggacctgaca  
481 gcgggtgtgt cggccgatac caccgtcgcc gcgtggagg agatgcgcac cgccagcgtc  
541 gagttggtt gcagtcctg a

gyrA (fluoroquinilone/ciprofloxacin resistance)

gyrA-F amplification primer, 20-mer, bp2383-2402

5' CAG CTA CAT CGA CTA TGC GA 3'

SEQ ID NO. 41

gyrA-R amplification primer, 20-mer, bp2702-2683

5' GGG CTT CGG TGT ACC TCA TC 3'

SEQ ID NO. 42

gyrA-5s sequencing primer, 20-mer, bp2383-2402

5' CAG CTA CAT CGA CTA TGC GA 3'

SEQ ID NO. 43

gyrA-3s sequencing primer, 20-mer, bp2702-2683

5' GGG CTT CGG TGT ACC TCA TC 3'

SEQ ID NO. 44

SEQ ID NO. 45

2341 cgaccggatc gaaccggttg acatcgagca ggagatgcag cgcagctaca tcgactatgc  
2401 gatgagcgtg atcgtcggcc gcgcgctgcc ggaggtgcgc gacgggctca agcccgtgca  
2461 tcgccgggtg ctctatgcaa tgttcgattc cggcttccgc ccggaccgca gccacgcaa



10

2521 gtcggcccg tgggtgccg agaccatggg caactaccac ccgcacggcg acgcgtcgat

2581 ctacgacagc ctggtgcgca tggcccagcc ctggtcgtg cgctacccgc tggaggacgg

2641 ccagggcaac ttcggctcgc caggcaatga cccaccggcg gcgatgaggt acaccgaagc

2701 ccggctgacc ccgttggcga tggagatgct gagggaaatc gacgaggaga cagtcgatt

23S (macrolide/azithromycin resistance)

23S-F amplification primer, 20-mer, bp2444-2463

5' CGA AAT TCC TTG TCG GGT AA 3'

SEQ ID NO. 46

23S-R amplification primer, 20-mer, bp2683-2664

5' GTA TTT CAA CAA CGA CTC CA 3'

SEQ ID NO. 47

23S-5s sequencing primer, 20-mer, bp2444-2463

5' CGA AAT TCC TTG TCG GGT AA 3'

SEQ ID NO. 48

23S-3s sequencing primer, 20-mer, bp2683-2664

5' GTA TTT CAA CAA CGA CTC CA 3'

SEQ ID NO. 49

SEQ ID NO. 50

2401 gccccagtaa acggcgggtg taactataac catcctaagg tagcgaaatt cctgtcggg

2461 taagtccga cctgcacgaa tggcgtaacg acttcccaac tgtctcaacc atagactcgg

2521 cgaaattgca ctacgagtaa agatgctcgt tacgcgcggc aggacgaaaa gaccccgga

2581 ccttcactac aacttggtat tgggttcgg tacggtttgt gtaggatagg tgggagactt

2641 tgaagcacag acgccagtt gttggagtc gttgttgaaa taccactctg atcgattgg

To facilitate detection of the sequencing products using real-time fluorescence-based electrophoresis apparatus (for example, a Visible Genetics OPENGENTM sequencer), at least one of the sequencing primers is preferably labeled with a fluorescent label. The label is selected for compatibility with the sequencing apparatus employed, and may be, for example, fluorescein or a cyanine dye such as CY5.0 OR CY5.5.

The primers of the invention are suitably packaged in a kit. This kit will contain individually packaged amplification and sequencing primers sets for each resistance gene to be evaluated by the kit. Thus, the kit of the invention includes at least 4 primers (two amplification and two sequencing primers), and preferably includes the primer sets for a plurality of resistance genes, most preferably the primer sets for all ten resistance genes.

The suitable protocol for the utilization of these primer sets in the evaluation of *M. tuberculosis* in clinical samples utilizes PCR amplification, followed by cycle sequencing. DNA for use in the test is obtained from a sample of sputum (100ul-10ml). The sputum sample is processed according to Standard Infectious Disease/Public Health Laboratory practices (Mycobacteriology Bench Manual, Laboratory Services Branch, December 1997, Ontario Ministry of Health). The sputum sample is homogenized, decontaminated and concentrated. Mycobacterial DNA is prepared directly from a portion of the concentrated cell sediment (100-200ul) using standard DNA extraction methods or commercially available kits.

Amplification of the DNA is performed using the amplification primer sets described above. PCR reagents can be prepared for individual reactions, or may be prepared as a master mix which can be used for multiple tests e.g., 10 PCR reactions. Exemplary combinations of reagents are summarized in the following table.

| PCR mix                  | 1 PCR  | 10 PCRs | final conc. / PCR |
|--------------------------|--------|---------|-------------------|
| genomic DNA (20ng/ul)    | 1.0ul  |         | 20ng (~0.5fM)     |
| 10X PCR buffer I         | 2.5ul  | 25.0ul  | 1X                |
| 2.5mM dNTP mix (1:1:1:1) | 2.5ul  | 25.0ul  | 250uM             |
| DMSO                     | 1.3ul  | 13.0ul  | 5%                |
| Taq DNA polymerase (1U)  | 0.2ul  | 2.0ul   | 1 unit            |
| molecular grade water    | 16.5ul | 165.0ul |                   |
| MTB gene primers (10uM)  | 1.0ul  | 10.0ul  | 10pmol per primer |
| total volume per PCR     | 25.0ul |         |                   |

If the master mix as shown in the column labeled 10 PCRs is utilized, the mastermix contains all the necessary PCR reagents other than the genomic DNA. In this example, 24.0ul of the mastermix is added to a PCR tube, that already contains 1.0ul of genomic DNA, prior to the addition of the mineral oil overlay and placement in the thermocycler.

The genomic DNA preparation utilized must be of sufficient quality and integrity for robust and reproducible PCR. Suitable DNA preparation can be obtained using

the Gentra Puregene™ DNA isolation kit. The kit components are appropriate for the isolation of genomic DNA from blood, fresh or frozen tissue, archival material and paraffin-embedded tissue.

Each primer pair is used to amplify a single gene region under the following conditions:

|    |              |      |            |           |
|----|--------------|------|------------|-----------|
| 1. | Denaturation | 94°C | 5 minutes  | 1 cycle   |
| 2. | Denaturation | 94°C | 30 seconds |           |
|    | Annealing    | 60°C | 30 seconds | 35 cycles |
|    | Extension    | 72°C | 60 seconds |           |
| 3. | Extension    | 72°C | 5 minutes  | 1 cycle   |
| 4. | Hold         | 6°C  |            |           |

The temperature change during the cycles of the step 2 is desirably set to ramp at a rate of 1°C/sec.

After amplification, 2.0ul from the 25.0ul PCR is analysed for purity on a 0.8% agarose gel. Samples displaying single PCR product bands can be used directly for sequence analysis. The yield and purity of the PCR product determines the amount to be used in the subsequent cycle sequencing reaction. Comparable verification of sequencing purity is performed on each of the other amplification products.

Sequence analysis is carried out on the amplified product. The basic procedures and conditions are the same for each region. Accordingly, the invention will be exemplified using the rpoB gene.

For initial sequence analysis of rpoB, the rpoB-5s primer should be used. For confirmatory sequence analysis the rpoB-3s primer should be used. For each template to be sequenced, aliquot 3.0ul of each of the nucleotide termination mixes into four separate tubes marked <A>, <C>, <G> and <T> and store on ice until the sequencing mastermix is prepared.

#### Cycle sequencing mastermix

|                               |       |
|-------------------------------|-------|
| rpoB template                 | 2.0ul |
| 10X VGI Sequenace (tm) buffer | 2.5ul |

|                                     |               |
|-------------------------------------|---------------|
|                                     | 13            |
| DMSO                                | 3.5ul         |
| 2.5uM dye-sequencing primer         | 2.0ul         |
| PCR grade water                     | 9.0ul         |
| <u>1:10 diluted Thermosequenase</u> | <u>0.5 ul</u> |
| total volume                        | 22.0ul        |

Mix the DMSO and other components in the mastermix well by repeated pipetting (5 times) with a micropipette. Store the mastermix on ice until ready to add to the nucleotide termination mixes.

Add 5.0ul of the mastermix to each of the four marked tubes containing the nucleotide termination mixes.

Add 8.0ul lightweight mineral oil to each of the four marked tubes containing the mastermix and nucleotide termination mixes.

Store on ice until ready to load into the thermocycler.

Parameters for cycle sequencing

|    |              |      |            |     |
|----|--------------|------|------------|-----|
| 1. | Denaturation | 94°C | 5 minutes  | 1X  |
| 2. | Denaturation | 94°C | 30 seconds |     |
|    | Annealing    | 60°C | 30 seconds | 35X |
|    | Extension    | 72°C | 60 seconds |     |
| 3. | Extension    | 72°C | 5 minutes  | 1X  |
| 4. | Hold         | 6°C  |            |     |

The temperature change during the cycles of the step 2 is desirably set to ramp at a rate of 1°C/sec.

At the end of the cycle sequencing reaction add 6.0ul of the Stop Loading Dye directly to each of the four tubes to stop the sequencing reaction. The sequencing samples are heated at 95°C for 2 minutes and then placed on ice before loading 2.0ul (from a total volume of 14ul) on the CLIPPER™ sequencer. The remainder of the sequencing reaction can be stored at -20°C for subsequent use.

The CLIPPER™ sequencer is set-up as described in the *OPENGENE Automated DNA Sequencing System User Manual*. Run parameters for the CLIPPER™ sequencer are 54°C/ 1300volts/ 0.5sec sampling/35min run/50% laser power. The samples loaded included 2 ul each of the forward and reverse sequencing reaction products for the target gene, differentially labeled, for example with CY5.0 and CY5.5 cyanine dye labels. Once the run is completed, the base-called data is analysed by comparison of the test sequence to the rpoB sequence database in GENELIBRARIAN™. This sequence alignment compares the test sequence to the standard control sequence and allows sequence ambiguities to be assessed. Once edited the test sequence can be screened for antibiotic resistance-associated mutations using GENELIBRARIAN™.

Testing for multiple types of antibiotic-resistance mutations can be carried out using a hierarchical assay, as summarized in Fig. 2. At present molecular biological methods for the detection of *M. tuberculosis* are only performed on AFB smear-positive sputum samples. These methods serve as confirmatory tests for the presence of *M. tuberculosis*. In addition to these molecular biological methods, the culture-based procedures for *M. tuberculosis* detection (BacTec liquid culture, agar plate and slant cultures) are performed in parallel. AFB smear-negative sputum samples are processed with only the culture-based detection procedures (Figure 1).

In the present invention both AFB smear-positive and smear-negative sputum samples can be processed using both culture-based and molecular biological methods. A limitation of the AFB stain methodology is its limit of detection. If a sputum sample has a mycobacterial concentration of less than 5000 bacteria/ul the AFB stain will be negative. In addition to this is the observation that the decontamination procedure used to prepare the sputum sample usually kills 10-20% of the mycobacteria present. This would suggest that two-thirds of the AFB smear-negative samples potentially contain mycobacteria. In practice 10-20% of the AFB smear-negative samples are culture-positive for *M. tuberculosis* (Ontario Public Health Laboratory). This level of mycobacteria is easily detected by molecular biological methods and is therefore incorporated in the present invention.

The hierarchy proposed incorporates tests that specifically detect *M. tuberculosis* (rpoB), detect mutations in genes associated with resistance to the "first-line" antibiotics used to treat *M. tuberculosis* infections (rpoB, katG, rpsL/s12, PR, embB, pncA)

and detect other species of mycobacteria (23S) in the absence of *M. tuberculosis* (Figure 2). Group I analyses are performed before both Group II and Group III. Group I analysis will provide information on the antibiotic resistance status to rifampin (rpoB), isoniazid (katG), streptomycin (rpsL/s12) and azithromycin (23S). In addition the rpoB amplification indicates the presence of *M. tuberculosis* and in the absence of rpoB amplification the 23S sequence allows identification of most of the clinically relevant mycobacterial species. Group II analysis provides information on antibiotic resistance mutations in the "second-line" antibiotics used to treat *M. tuberculosis* infections namely, isoniazid (PR), ethambutol (embB), pyrazinamide (pncA) and ciprofloxacin (gyrA). Group III contains gene targets in which mutations associated with antibiotic resistance are infrequently found. This protocol permits specific gene targets to be examined according to the local treatment procedures since the both antibiotics used to treat *M. tuberculosis* infections, and thus the associated antibiotic resistance mutation patterns, vary geographically. As shown in Figure 2 the culture-based methods are performed in parallel. The molecular biological methods would permit the identification of *M. tuberculosis* from both AFB smear-positive and smear-negative sputum samples and further provide information on the antibiotic resistance profile of these samples well in advance of current culture-based methods. This information would be crucial to the initiation of appropriate and effective antibiotic treatment regimens for *M. tuberculosis* infections.

#### Examples

A pool of DNA samples from antibiotic-sensitive *M. tuberculosis* isolates was obtained from the LCDC, Health and Welfare Canada, Ottawa, Ontario. Wild-type sequence traces, for all gene targets known to harbor mutations in antibiotic-resistant *M. tuberculosis*, were generated.

A panel of DNA samples from five phenotypic streptomycin-resistant *M. tuberculosis* isolates was obtained from the Public Health Laboratory, Ontario Ministry of Health, Toronto, Ontario. These DNA samples were examined for antibiotic resistance-associated mutations in all 10 antibiotic gene targets listed above. Streptomycin resistance-associated mutations were detected in the rpsL/s12 gene in four isolates. Parallel antibiotic resistance-associated mutations in the rpoB (rifampin), katG (isoniazid), PR (isoniazid),

embB (ethambutol), pncA (pyrazinamide) and gyrA (ciprofloxacin) genes were also identified which underscores the importance of examining all the gene targets for first-line antibiotics used in the treatment of *M. tuberculosis*. A summary of the results is shown in Table 1.

The following references are cited herein and are incorporated herein by reference for all states which allow such incorporation.

- a DL Williams et al. (1994). Characterisation of rifampin resistance in pathogenic mycobacteria. *Antimicrob Agents Chemother* 38: 2380-2386.
- b WH Haas et al. (1997). Molecular analysis of katG gene mutations in strains of *Mycobacterium tuberculosis* complex from Africa. *Antimicrob Agents Chemother* 41: 1601-1603.
- c S Sreevatsan et al. (1997). Analysis of the oxyR-ahpC region in isoniazid-resistant and -susceptible *Mycobacterium tuberculosis* complex organisms recovered from diseased humans and animals in diverse localities. *Antimicrob Agents Chemother* 41: 600-606.
- d A Telenti et al. (1994). Genotypic assessment of isoniazid and rifampin resistance in *Mycobacterium tuberculosis*: a blind study at the reference laboratory level. *Antimicrob Agents Chemother* 35: 719-723.
- e C Katsukawa et al. (1997). Characterisation of the rpsL and rrs genes of streptomycin-resistant clinical isolates of *Mycobacterium tuberculosis* in Japan. *J Appl Microbiol* 83: 634-640.
- f C Katsukawa et al. (1997). Characterisation of the rpsL and rrs genes of streptomycin-resistant clinical isolates of *Mycobacterium tuberculosis* in Japan. *J Appl Microbiol* 83: 634-640.
- g MA Lety et al. (1997). A single point mutation in the embB gene is responsible for resistance to ethambutol in *Mycobacterium smegmatis*. *Antimicrob Agents Chemother* 41: 2629-2633.
- h A Scorpio et al. (1997). Characterisation of pncA mutations in pyrazinamide-resistant *Mycobacterium tuberculosis*. *Antimicrob Agents Chemother* 41: 540-543.

i        **C Xu et al. (1996). Fluoroquinilone resistance associated with specific gyrase mutations in clinical isolates of multidrug-resistant Mycobacterium tuberculosis. J Infect Disease 174: 1127-1130.**

j        **KA Nash et al. (1995). Genetic basis of macrolide resistance in Mycobacterium avium isolated from patients with disseminated disease. Antimicrob Agents Chemother 39: 2625-2630.**



Table 1

| gene (antibiotic)        | OPH#1<br>bp/codon/aa | OPH#2<br>bp/codon/aa | OPH#3<br>bp/codon/aa | OPH#4<br>bp/codon/aa | OPH#11<br>bp/codon/aa |
|--------------------------|----------------------|----------------------|----------------------|----------------------|-----------------------|
| rpoB (rifampin)          | cac526tac, His526Tyr | tcg553tgg, Ser553Leu | cac526gac, His526Asp | tcg553tgg, Ser553Leu | wt                    |
| katG.1 (isoniazid)       | agc513acc, Ser513Thr | agc513acc, Ser513Thr | agc513acc, Ser513Thr | wt                   | wt                    |
| oxyR-ahpC PR (isoniazid) | g541a                | wt                   | wt                   | wt                   | g541a                 |
| fabG (isoniazid)         | wt                   | wt                   | wt                   | wt                   | wt                    |
| rrsL/s12 (streptomycin)  | wt                   | aag43agg, Lys43Arg   | aag43agg, Lys43Arg   | aag88agg, Lys88Arg   | aag43agg, Lys43Arg    |
| 16s/rrs (streptomycin)   | wt                   | wt                   | wt                   | wt                   | wt                    |
| embB (ethambutol)        | wt                   | glc292llc, val292phe | wt                   | wt                   | wt                    |
| pncA (pyrazinamide)      | tcc65lct, Ser65Ser   | wt                   | all133aat, Ile133Asn | wt                   | tcc65lct, Ser65Ser    |
| gyrA (ciprofloxacin)     | agc95acc, Ser95Thr   | agc95acc, Ser95Thr   | agc95acc, Ser95Thr   | agc95acc, Ser95Thr   | agc95acc, Ser95Thr    |
| 23s (azithromycin)       | wt                   | wt                   | wt                   | wt                   | wt                    |

## CLAIMS

1. A method for detection and characterization of *Mycobacterium tuberculosis* present in a sample, comprising the steps of:
  - (a) obtaining a sputum sample suspected of containing *M. tuberculosis*,
  - (b) performing a first sequencing procedure, with or without prior amplification, on the sample to detect the presence of *M. tuberculosis*, and if present to evaluate the *rpoB*, *katG*, *rpsL/s12* and *23S* genes for the presence of antibiotic-resistance inducing mutations; and
  - (c) if *M. tuberculosis* is detected in step (b), performing a second sequencing procedure, with or without prior amplification, on the sample to evaluate the additional genes for the presence of antibiotic-resistance inducing mutations.
2. The method of claim 1, wherein the second sequencing procedure evaluates *PR*, *embB* *pncA* and *gyrA* genes for the presence of antibiotic-resistance mutations.
3. The method of claim 3, further comprising the step of performing a third sequencing procedure when *M. tuberculosis* was detected in step (b) to evaluate *16S/rrs* and *mabA* genes for the presence of antibiotic-resistance mutations.
4. The method of any of claims 1 to 3, wherein the first sequencing procedure for *rpoB* is performed using amplification primers as set forth in Seq. ID Nos. 1 and 2 and sequencing primers as set forth in Seq. ID. Nos. 3 and 4.
5. The method of any of claims 1 to 4, wherein the first sequencing procedure for *katG* is performed using amplification primers as set forth in Seq. ID Nos. 6 and 7 and sequencing primers as set forth in Seq. ID. Nos. 8 and 9.
6. The method of any of claims 1 to 5, wherein the first sequencing procedure for *rpsL/s12* is performed using amplification primers as set forth in Seq. ID Nos. 21 and 22 and sequencing primers as set forth in Seq. ID. Nos. 23 and 24.

7. The method of any of claims 1 to 6, wherein the second sequencing procedure for 23S is performed using amplification primers as set forth in Seq. ID Nos. 46 and 47 and sequencing primers as set forth in Seq. ID. Nos. 48 and 49.

8. The method of any of claims 1 to 7, wherein the second sequencing procedure for PR is performed using amplification primers as set forth in Seq. ID Nos. 11 and 12 and sequencing primers as set forth in Seq. ID. Nos. 13 and 14.

9. The method of any of claims 1 to 8, wherein the second sequencing procedure for pncA is performed using amplification primers as set forth in Seq. ID Nos. 36 and 37 and sequencing primers as set forth in Seq. ID. Nos. 38 and 39.

10. The method of any of claims 1 to 9, wherein the second sequencing procedure for embB is performed using amplification primers as set forth in Seq. ID Nos. 31 and 32 and sequencing primers as set forth in Seq. ID. Nos. 33 and 34.

11. The method of any of claims 1 to 10, wherein the second sequencing procedure for gyrA is performed using amplification primers as set forth in Seq. ID Nos. 41 and 42 and sequencing primers as set forth in Seq. ID. Nos. 43 and 44.

12. The method of any of claims 2 to 11, wherein the third sequencing procedure for 16S/rrs is performed using amplification primers as set forth in Seq. ID Nos. 26 and 27 and sequencing primers as set forth in Seq. ID. Nos. 28 and 29.

13. The method of any of claims 2 to 12, wherein the third sequencing procedure for mabA is performed using amplification primers as set forth in Seq. ID Nos. 16 and 17 and sequencing primers as set forth in Seq. ID. Nos. 18 and 19.

14. A kit for evaluation of antibiotic-resistance mutations in a sample of *Mycobacterium tuberculosis*, comprising one or more pairs of amplification primers and one or more matched pairs of sequencing primers for amplification and sequencing regions within

the genome of *M. tuberculosis*, characterized in that the amplification and sequencing primer pairs are selected from among:

- (a) amplification primers of Seq. ID Nos. 1 and 2 in combination and sequencing primers of Seq. ID Nos. 3 and 4;
- (b) amplification primers of Seq. ID Nos. 6 and 7 in combination and sequencing primers of Seq. ID Nos. 8 and 9;
- (c) amplification primers of Seq. ID Nos. 11 and 12 in combination and sequencing primers of Seq. ID Nos. 13 and 14;
- (d) amplification primers of Seq. ID Nos. 16 and 17 in combination and sequencing primers of Seq. ID Nos. 18 and 19;
- (e) amplification primers of Seq. ID Nos. 21 and 22 in combination and sequencing primers of Seq. ID Nos. 23 and 24;
- (f) amplification primers of Seq. ID Nos. 26 and 27 in combination and sequencing primers of Seq. ID Nos. 28 and 29;
- (g) amplification primers of Seq. ID Nos. 31 and 32 in combination and sequencing primers of Seq. ID Nos. 33 and 34;
- (h) amplification primers of Seq. ID Nos. 36 and 37 in combination and sequencing primers of Seq. ID Nos. 38 and 39;
- (i) amplification primers of Seq. ID Nos. 41 and 42 in combination and sequencing primers of Seq. ID Nos. 43 and 44; and
- (j) amplification primers of Seq. ID Nos. 46 and 47 in combination and sequencing primers of Seq. ID Nos. 48 and 49.

1/2

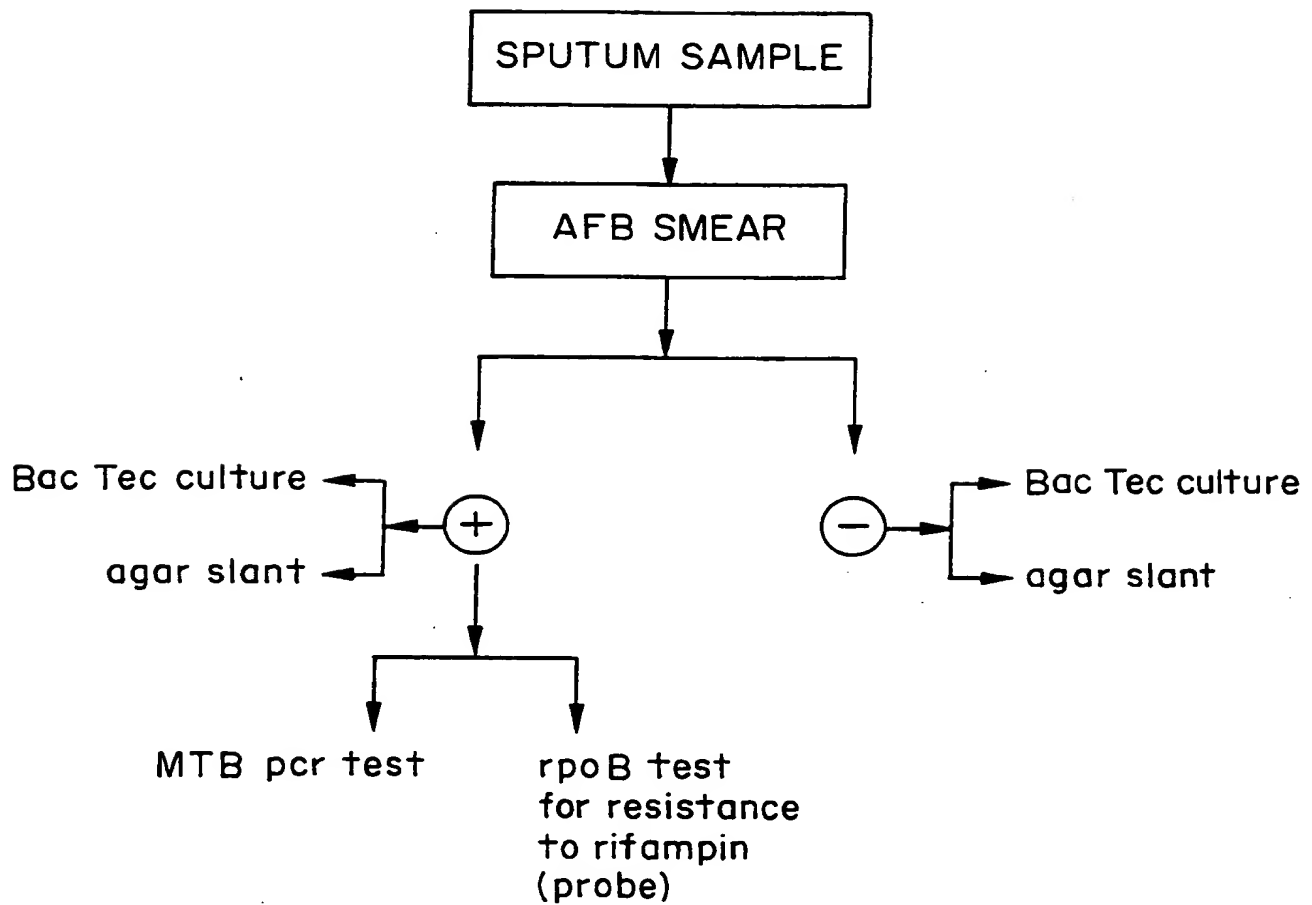


FIG. 1

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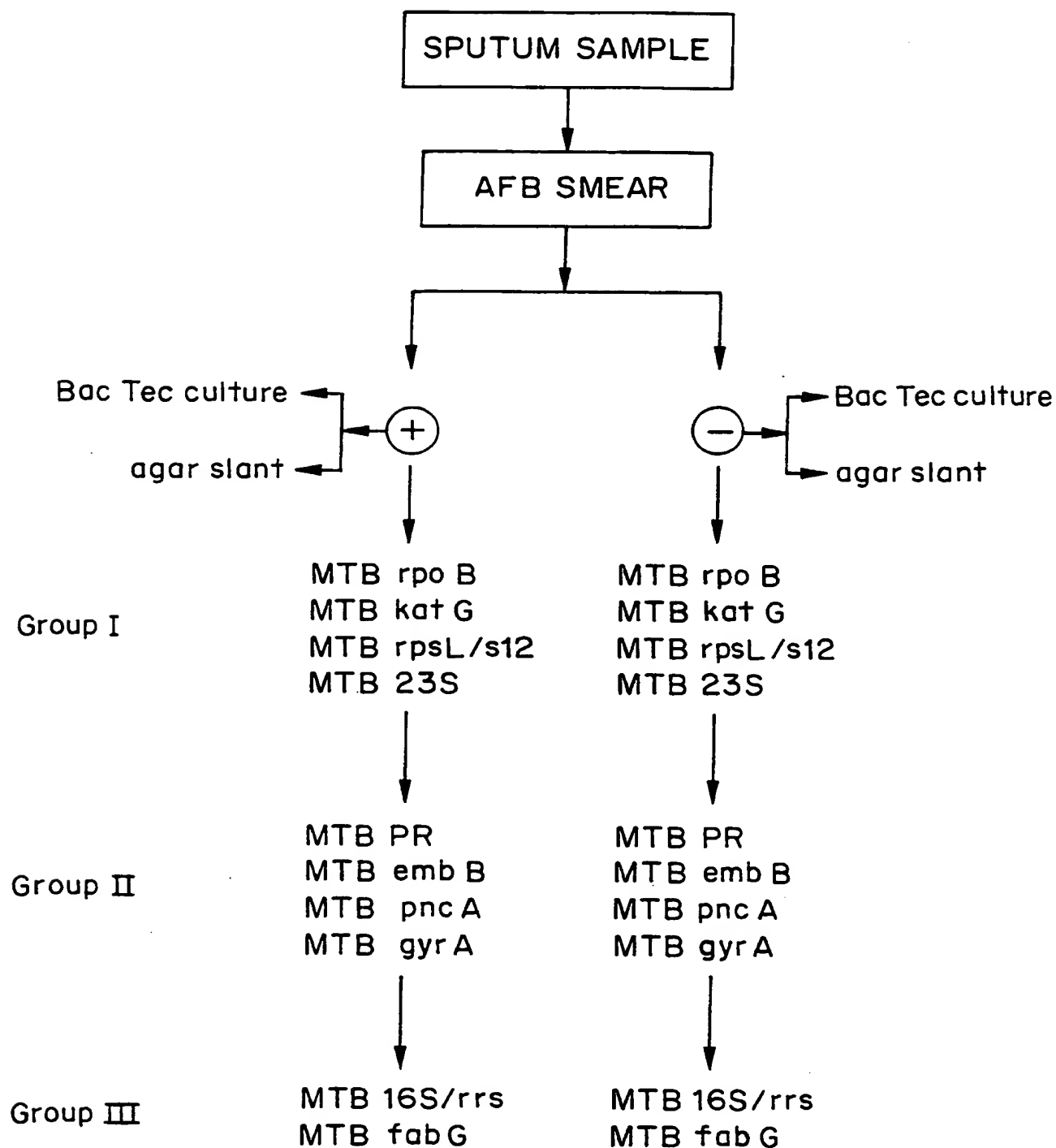


FIG. 2

1/15  
SEQUENCE LISTING

<110> Visible Genetics Inc.  
Shipman, Robert

<120> Method and Kit for the Characterization of  
Antibiotic-Resistance Mutations in Mycobacterium  
tuberculosis

<130> VGEN.P-055-WO

<140>

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<151> 1998-12-11

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<170> PatentIn Ver. 2.1

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<213> Mycobacterium tuberculosis

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<210> 2

<211> 20

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20

<210> 3

<211> 20

<212> DNA

2/15

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&lt;220&gt;

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&lt;400&gt; 3

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20

&lt;210&gt; 4

&lt;211&gt; 20

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

&lt;223&gt; rpoB-3s sequencing primer

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20

&lt;210&gt; 5

&lt;211&gt; 480

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&lt;220&gt;

&lt;223&gt; rpoB (rifampin resistance)

&lt;400&gt; 5

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gctgatcgtc ggcggtcaca ctttcggtaa gacctatggc gccggcccgg ccgatctggt 240
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&lt;212&gt; DNA

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&lt;223&gt; PR-R amplification primer

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&lt;211&gt; 20

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cgcctaccag ctcaccgctc tcatcggcgg tgacctgtcc aaggtcgacg ccaagcagcc 360  
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 cgcggttcgc tggatacgca ggggtgtcaag aaccgcaaac aggcacgcag ccgttacggc 360  
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21

&lt;210&gt; 29

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&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

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&lt;400&gt; 29

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&lt;210&gt; 30

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&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

&lt;223&gt; 16S/rrs (streptomycin resistance)

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gcctatcagc ttgttggtgg ggtgacg 147

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&lt;211&gt; 21

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&lt;213&gt; Mycobacterium tuberculosis

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10/15

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&lt;220&gt;

&lt;223&gt; embB-R amplification primer

&lt;400&gt; 32

agccagcaca ctagcccggc g

21

&lt;210&gt; 33

&lt;211&gt; 21

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

&lt;223&gt; embB-5s sequencing primer

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21

&lt;210&gt; 34

&lt;211&gt; 21

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

&lt;223&gt; embB-3s sequencing primer

&lt;400&gt; 34

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21

&lt;210&gt; 35

&lt;211&gt; 300

&lt;212&gt; DNA

&lt;213&gt; Mycobacterium tuberculosis

&lt;220&gt;

&lt;223&gt; embB (ethambutol resistance)

&lt;400&gt; 35

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11/15

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12/15

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13/15

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15/15

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tgaagcacag acgccagttt gtgtggagtc gttgttgaaa taccactctg atcgtattgg 300
```

CLAIMS

1. A method for detection and characterization of *Mycobacterium tuberculosis* present in a sample, comprising the steps of:
  - (a) obtaining a sputum sample suspected of containing *M. tuberculosis*,
  - (b) performing a first sequencing procedure, with or without prior amplification, on the sample to detect the presence of *M. tuberculosis*, and if present to evaluate the *rpoB*, *katG*, *rpsL/s12* and *23S* genes for the presence of antibiotic-resistance inducing mutations; and
  - (c) if *M. tuberculosis* is detected in step (b), performing a second sequencing procedure, with or without prior amplification, on the sample to evaluate the additional genes for the presence of antibiotic-resistance inducing mutations.
2. The method of claim 1, wherein the second sequencing procedure evaluates *PR*, *embB* *pncA* and *gyrA* genes for the presence of antibiotic-resistance mutations.
3. The method of claim 3, further comprising the step of performing a third sequencing procedure when *M. tuberculosis* was detected in step (b) to evaluate *16S/rrs* and *mabA* genes for the presence of antibiotic-resistance mutations.
4. The method of any of claims 1 to 3, wherein the first sequencing procedure for *rpoB* is performed using amplification primers as set forth in Seq. ID Nos. 1 and 2 and sequencing primers as set forth in Seq. ID. Nos. 3 and 4.
5. The method of any of claims 1 to 4, wherein the first sequencing procedure for *katG* is performed using amplification primers as set forth in Seq. ID Nos. 6 and 7 and sequencing primers as set forth in Seq. ID. Nos. 8 and 9.
6. The method of any of claims 1 to 5, wherein the first sequencing procedure for *rpsL/s12* is performed using amplification primers as set forth in Seq. ID Nos. 21 and 22 and sequencing primers as set forth in Seq. ID. Nos. 23 and 24.

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7. The method of any of claims 1 to 6, wherein the second sequencing procedure for 23S is performed using amplification primers as set forth in Seq. ID Nos. 46 and 47 and sequencing primers as set forth in Seq. ID. Nos. 48 and 49.

8. The method of any of claims 1 to 7, wherein the second sequencing procedure for PR is performed using amplification primers as set forth in Seq. ID Nos. 11 and 12 and sequencing primers as set forth in Seq. ID. Nos. 13 and 14.

9. The method of any of claims 1 to 8, wherein the second sequencing procedure for pncA is performed using amplification primers as set forth in Seq. ID Nos. 36 and 37 and sequencing primers as set forth in Seq. ID. Nos. 38 and 39.

10. The method of any of claims 1 to 9, wherein the second sequencing procedure for embB is performed using amplification primers as set forth in Seq. ID Nos. 31 and 32 and sequencing primers as set forth in Seq. ID. Nos. 33 and 34.

11. The method of any of claims 1 to 10, wherein the second sequencing procedure for gyrA is performed using amplification primers as set forth in Seq. ID Nos. 41 and 42 and sequencing primers as set forth in Seq. ID. Nos. 43 and 44.

12. The method of any of claims 2 to 11, wherein the third sequencing procedure for 16S/rrs is performed using amplification primers as set forth in Seq. ID Nos. 26 and 27 and sequencing primers as set forth in Seq. ID. Nos. 28 and 29.

13. The method of any of claims 2 to 12, wherein the third sequencing procedure for mabA is performed using amplification primers as set forth in Seq. ID Nos. 16 and 17 and sequencing primers as set forth in Seq. ID. Nos. 18 and 19.

14. A kit for evaluation of antibiotic-resistance mutations in a sample of *Mycobacterium tuberculosis*, comprising one or more pairs of amplification primers and one or more matched pairs of sequencing primers for amplification and sequencing regions within

the genome of *M. tuberculosis*, characterized in that the amplification and sequencing primer pairs are selected from among:

- (a) amplification primers of Seq. ID Nos. 1 and 2 in combination and sequencing primers of Seq. ID Nos. 3 and 4;
- (b) amplification primers of Seq. ID Nos. 6 and 7 in combination and sequencing primers of Seq. ID Nos. 8 and 9;
- (c) amplification primers of Seq. ID Nos. 11 and 12 in combination and sequencing primers of Seq. ID Nos. 13 and 14;
- (d) amplification primers of Seq. ID Nos. 16 and 17 in combination and sequencing primers of Seq. ID Nos. 18 and 19;
- (e) amplification primers of Seq. ID Nos. 21 and 22 in combination and sequencing primers of Seq. ID Nos. 23 and 24;
- (f) amplification primers of Seq. ID Nos. 26 and 27 in combination and sequencing primers of Seq. ID Nos. 28 and 29;
- (g) amplification primers of Seq. ID Nos. 31 and 32 in combination and sequencing primers of Seq. ID Nos. 33 and 34;
- (h) amplification primers of Seq. ID Nos. 36 and 37 in combination and sequencing primers of Seq. ID Nos. 38 and 39;
- (i) amplification primers of Seq. ID Nos. 41 and 42 in combination and sequencing primers of Seq. ID Nos. 43 and 44; and
- (j) amplification primers of Seq. ID Nos. 46 and 47 in combination and sequencing primers of Seq. ID Nos. 48 and 49.

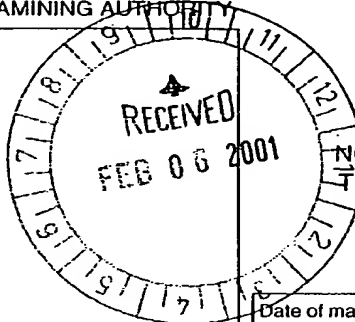


REPLACES BY  
ART 34 AMDE  
PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

DEETH WILLIAMS WALL  
National Bank Building  
150 York Street, Suite 400  
Toronto, Ontario M5H 3S5  
CANADA



PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing  
(day/month/year) 29.01.2001

Applicant's or agent's file reference  
2393-001205

IMPORTANT NOTIFICATION

International application No.  
PCT/CA99/01177

International filing date (day/month/year)  
10/12/1999

Priority date (day/month/year)  
11/12/1998

Applicant

VISIBLE GENETICS INC. et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

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PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT



(PCT Article 36 and Rule 70)

|  |  |  |   |
|--|--|--|---|
| Applicant's or agent's file reference<br>2393-001205                                     |  | FOR FURTHER ACTION                           | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |
| International application No.<br>PCT/CA99/01177  | International filing date (day/month/year)<br>10/12/1999 | Priority date (day/month/year)<br>11/12/1998 |   |
| International Patent Classification (IPC) or national classification and IPC<br>C12Q1/68 |  |  |   |
| Applicant<br>VISIBLE GENETICS INC. et al.  |  |  |   |

- This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 8 sheets, including this cover sheet.  
  
☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  
  
 These annexes consist of a total of 4 sheets.

- This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☒ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

|   |   |
|---|---|
| Date of submission of the demand<br><br>04/07/2000  | Date of completion of this report<br><br>29.01.2001   |
| Name and mailing address of the international preliminary examining authority:<br><br> European Patent Office<br>D-80298 Munich<br>Tel. +49 89 2399 - 0 Tx: 523656 epmu d<br>Fax: +49 89 2399 - 4465 | Authorized officer<br><br>Knudsen, H<br><br>Telephone No. +49 89 2399 8696<br><br> |

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/CA99/01177

**I. Basis of the report**

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).):*

**Description, pages:**

|        |                     |            |
|--------|---------------------|------------|
| 1,3-18 | as originally filed |            |
| 2      | with telefax of     | 10/01/2001 |

**Claims, No.:**

|      |                 |            |
|------|-----------------|------------|
| 1-14 | with telefax of | 10/01/2001 |
|------|-----------------|------------|

**Drawings, sheets:**

|         |                     |
|---------|---------------------|
| 1/2,2/2 | as originally filed |
|---------|---------------------|

**Sequence listing part of the description, pages:**

1-15, filed with the letter of 05.06.2000

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
  - ☐ the language of publication of the international application (under Rule 48.3(b)).
  - ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).
3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:
- ☒ contained in the international application in written form.
  - ☒ filed together with the international application in computer readable form.
  - ☐ furnished subsequently to this Authority in written form.
  - ☐ furnished subsequently to this Authority in computer readable form.
  - ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
  - ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/CA99/01177

4. The amendments have resulted in the cancellation of:

- ☐ the description,      pages:
- ☐ the claims,      Nos.:
- ☐ the drawings,      sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:  
**see separate sheet**

**II. Priority**

1. ☐ This report has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit the requested:

- ☐ copy of the earlier application whose priority has been claimed.
- ☐ translation of the earlier application whose priority has been claimed.

2. ☐ This report has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid.

Thus for the purposes of this report, the international filing date indicated above is considered to be the relevant date.

3. Additional observations, if necessary:  
**see separate sheet**

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

|                               |      |        |      |
|-------------------------------|------|--------|------|
| Novelty (N)                   | Yes: | Claims | 1-14 |
|                               | No:  | Claims |      |
| Inventive step (IS)           | Yes: | Claims | 1-14 |
|                               | No:  | Claims |      |
| Industrial applicability (IA) | Yes: | Claims | 1-14 |
|                               | No:  | Claims |      |

2. Citations and explanations  
**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/CA99/01177

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**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:  
**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:  
**see separate sheet**

**ITEM I:**

The sequence listing pages 1-15, filed with the letter of 05.06.2000 do not form part of the application (Rule 13ter.1(f) PCT).

**ITEM II:**

The priority appears to be validly claimed for the whole claimed subject-matter and the P-documents mentioned in the International Search Report therefore do not appear to be relevant. The applicant explains that the designation "fabG" used in the priority document and the designation "mabA" used in the application are equivalents and that the sole cause for making the amendment was the wish to use updated language.

**ITEM V:**

THE CLOSEST PRIOR ART:

- 5.1 The closest prior art is disclosed in "Abstracts of the Interscience Conference on Antimicrobial Agents and Chemotherapy, vol. 34, page 163, (1994)" (D1). D1 discloses a method for identification of mycobacterium species identification and detection of mutations associated with antibiotic resistance in *Mycobacterium tuberculosis* by application of automated DNA sequence analysis. In the method a smear test is first carried out followed by an identification of a species specific hsp65 polymorphism. Subsequently, mutations were found in specific regions of seven genes. The genes investigated in D1 are rpoB, katG, inhA, orf1, rpsL, gyrA and 16SrRNA.

NOVELTY:

- 5.2 The method of claim 1 differs from the method of D1 in that the rpoB primers are selected so that an amplification of a sequence from the rpoB gene is indicative of the presence of *Mycobacterium tuberculosis* and in that the 23S gene is among the genes sequenced at the same time as the species-specific sequence and in that the sequences of the PR, embB, pncA and gyrA sequences are determined if the species specific test is positive. Thus, claims 1-13 are considered novel.

- 5.3 The only primer sequence of the present application, which is known from the prior art, is SEQ ID NO.1 which is disclosed in claim 22 of WO 95/33851 (D2). However, none of the combination of amplification and sequencing pairs are disclosed in the cited prior art documents. Claim 14 is therefore considered novel.

**INVENTIVE STEP:**

- 5.4 All of the genes mentioned in claims 1-3 of the present application are well-known as being involved in resistance development in *Mycobacterium tuberculosis*.

To the skilled person, who wishes to detect and characterize the resistance profile of a *Mycobacterium tuberculosis* sample isolated from an infected patient, it would therefore, prima facie, be obvious to test whether one or more of these genes carries a mutation. D1 informs the skilled person that mutations may be identified by sequencing of a number of genes. WO 97/23650 (D3) provides detailed information on how to sequence for polymorphisms.

WO 95/33074 (D2) discloses that PCR of a region of the *rpoB* gene may be used for both *M.tuberculosis* detection and drug susceptibility testing. The feature of claim 1 that the generation of *rpoB* sequences is indicative of the presence of *M.tuberculosis* therefore does not contribute to the inventiveness of claim 1. However, none of the cited documents suggest that the sequence of the 23S gene is useful in species determination of other *Mycobacteria* strains, in case the test for *M.tuberculosis* is negative. The method of claim 1 therefore solves the problem of providing in a single sequencing procedure the possibility of detecting and drug susceptibility testing *M.tuberculosis* and alternatively identifying another mycobacterium strains. In the absence of any suggestion in the prior art to include the 23S gene in the genes to be analysed firstly in a hierarchical method, claim 1 is considered inventive.

Claims 2-13 which depend on claim 1 are considered inventive as well.

- 5.5 The use of primers for amplification of genes involved in antibiotic resistance is disclosed in D1 and is not considered inventive. The special combinations of amplification primers and sequencing primers (a) - (j) mentioned in claim 14 are not suggested in the prior art, but the sets of primers, per se, do not appear to

overcome a problem of the prior art documents which disclose methods for determining the sequence of several M.tuberculosis genes. The applicant argues that some primers perform better than others and considers the primers mentioned in claim 14 to perform very well. However, nothing in the present application suggests that any of the sets of primers solve a technical problem in an unexpected way. Nevertheless, the inclusion of 23S in the genes to be sequenced is not suggested in D1 and given the advantage obtained by its inclusion, present claim 14 is considered inventive.

**INDUSTRIAL APPLICABILITY:**

5.6 Present claims 1-14 are considered industrially applicable.

**ITEM VII:**

- 7.1 Contrary to the requirements of Rule 5(a)(ii) PCT, the discussion of the closest prior art document D1 does not reflect all the relevant background art disclosed therein.
- 7.2 It is not possible to incorporate the teaching of a prior art document into the present application's disclosure by the expression "herein incorporated by reference" or equivalents thereof (see p.4) (cf PCT Guidelines, C-II, 4.17).

**ITEM VIII:**

- 8.1 It is not clear from claim 3 under which conditions the third sequencing procedure is carried out, if the mere presence of M.tuberculosis is sufficient to give rise to the third sequencing procedure, then the prerequisites for carrying out the third and second sequencing procedures are the same and the hierarchical principle of the invention as explained in the application is not observed.
- 8.2 The object of the invention would only appear to be met if the kit contains primers which amplify the rpoB gene in a way so that the amplification of the rpoB gene is indicative of the presence of M.tuberculosis. At present this is not a requirement of claim 14.
- 8.3 It is not clear from the application whether the sequencing of a special region of



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/CA99/01177

the 23S gene is needed in order to identify other strains than M.tuberculosis.

primarily on AFB smear-positive samples. Since the presence of *M. tuberculosis* has already been established by the AFB smear, these tests are used primarily in a confirmatory capacity as opposed to a diagnostic capacity. Furthermore, these tests provide no information on the potential antibiotic resistance of these *M. tuberculosis* samples.

Below is a list of antibiotics used to treat *M. Tuberculosis* infections. The gene target of the specific antibiotic and regions associated with antibiotic resistance are listed, if known. The references on which the codon assignments are based are listed at the end of the application.

|     |               |                                  |   |
|-----|---------------|----------------------------------|---|
| 1.  | Rifampin      | rpoB gene                        | codon 507-533 <sup>a</sup>                  |
| 2.  | Isoniazid     | katG gene                        | codon 275/315/328 <sup>b</sup>              |
| 3.  | Isoniazid     | mabA gene                        | unknown <sup>a</sup>                        |
| 4.  | Isoniazid     | oxyR-ahpC intergenic region (PR) | nucleotides -48 to +33                      |
| 5.  | Azithromycin  | 23S rRNA sequence                | nucleotide 2568A <sup>c</sup>               |
| 6.  | Pyrazinamide  | pncA gene                        | codon 47/85 <sup>f</sup>                    |
| 7.  | Ethambutol    | embB gene                        | codon 306 <sup>g</sup>                      |
| 8.  | Streptomycin  | rpsL/s12 gene                    | codon 43/88 <sup>h</sup>                    |
| 9.  | Streptomycin  | 16S/rrs sequence                 | nucleotides 491, 512, 516, 513,<br>903, 904 |
| 10. | Ciprofloxacin | gyrA gene                        | codon 88-95 <sup>j</sup>                    |

Probe-based tests do exist for the determination of rifampin resistance in *M. tuberculosis* (line probe assay-ImmTek), but these probes rely on prior knowledge of antibiotic resistance-associated mutations in the rpoB gene. Mutations outside the region covered by the probe or new mutations not included in the probe cocktail could still confer resistance, but would not be detected using this product in its present form.

Abstracts of the Interscience Conference on Antimicrobial Agents and Chemotherapy", vol. 34, page 163 (1994) describes the application of automated DNA sequence analysis of *hsp65* to speciation of isolates previously-identified as being *M. tuberculosis*.

CLAIMS

1. A method for detection and characterization of *Mycobacterium tuberculosis* present in a sample, comprising the steps of:
  - (a) obtaining a sputum sample suspected of containing *M. tuberculosis*,
  - (b) performing a first sequencing procedure, with or without prior amplification, on the sample, said sequencing procedure generating sequencing fragments for evaluation of the *rpoB*, *katG*, *rpsL*/*is12* and *23S* genes for the presence of antibiotic-resistance inducing mutations when *M. tuberculosis* is present in the sample, wherein primers for the sequencing of the *rpoB* gene are selected such that the generation of sequencing products for this gene is indicative of the presence of *M. tuberculosis* in the sample; and
  - (c) if *M. tuberculosis* is detected as a result of generation of sequencing products for the *rpoB* gene in step (b), performing a second sequencing procedure, with or without prior amplification, on the sample to evaluate at least one additional *M. tuberculosis* gene for the presence of antibiotic-resistance inducing mutations.
2. The method of claim 1, wherein the second sequencing procedure evaluates *PR*, *embB*, *pncA* and *gyrA* genes for the presence of antibiotic-resistance mutations.
3. The method of claim 3, further comprising the step of performing a third sequencing procedure when *M. tuberculosis* was detected in step (b), separate from the first and second sequencing procedures, to evaluate *16S*/*rrs* and *mabA* genes for the presence of antibiotic-resistance mutations.
4. The method of any of claims 1 to 3, wherein the first sequencing procedure for *rpoB* is performed using amplification primers as set forth in Seq. ID Nos. 1 and 2 and sequencing primers as set forth in Seq. ID. Nos. 3 and 4.
5. The method of any of claims 1 to 4, wherein the first sequencing procedure for *katG* is performed using amplification primers as set forth in Seq. ID Nos. 6 and 7 and sequencing primers as set forth in Seq. ID. Nos. 8 and 9.

6. The method of any of claims 1 to 5, wherein the first sequencing procedure for *rpsL/s12* is performed using amplification primers as set forth in Seq. ID Nos. 21 and 22 and sequencing primers as set forth in Seq. ID. Nos. 23 and 24.

7. The method of any of claims 1 to 6, wherein the second sequencing procedure for *23S* is performed using amplification primers as set forth in Seq. ID Nos. 46 and 47 and sequencing primers as set forth in Seq. ID. Nos. 48 and 49.

8. The method of any of claims 1 to 7, wherein the second sequencing procedure for *PR* is performed using amplification primers as set forth in Seq. ID Nos. 11 and 12 and sequencing primers as set forth in Seq. ID. Nos. 13 and 14.

9. The method of any of claims 1 to 8, wherein the second sequencing procedure for *pncA* is performed using amplification primers as set forth in Seq. ID Nos. 36 and 37 and sequencing primers as set forth in Seq. ID. Nos. 38 and 39.

10. The method of any of claims 1 to 9, wherein the second sequencing procedure for *embB* is performed using amplification primers as set forth in Seq. ID Nos. 31 and 32 and sequencing primers as set forth in Seq. ID. Nos. 33 and 34.

11. The method of any of claims 1 to 10, wherein the second sequencing procedure for *gyrA* is performed using amplification primers as set forth in Seq. ID Nos. 41 and 42 and sequencing primers as set forth in Seq. ID. Nos. 43 and 44.

12. The method of any of claims 2 to 11, wherein the third sequencing procedure for *16S/rrs* is performed using amplification primers as set forth in Seq. ID Nos. 26 and 27 and sequencing primers as set forth in Seq. ID. Nos. 28 and 29.

13. The method of any of claims 2 to 12, wherein the third sequencing procedure for *mabA* is performed using amplification primers as set forth in Seq. ID Nos. 16 and 17 and sequencing primers as set forth in Seq. ID Nos. 18 and 19.

14. A kit for evaluation of antibiotic-resistance mutations in a sample of *Mycobacterium tuberculosis*, comprising pairs of amplification primers and matched pairs of sequencing primers for amplification and sequencing the at least the *rpoB*, *katG*, *rpsL/s12* and 23S genes of *M. tuberculosis*, characterized in that the amplification and sequencing primer pairs include at least one combination of primer pairs selected from among:

- (a) amplification primers of Seq. ID Nos. 1 and 2 in combination and sequencing primers of Seq. ID Nos. 3 and 4;
- (b) amplification primers of Seq. ID Nos. 6 and 7 in combination and sequencing primers of Seq. ID Nos. 8 and 9;
- (c) amplification primers of Seq. ID Nos. 11 and 12 in combination and sequencing primers of Seq. ID Nos. 13 and 14;
- (d) amplification primers of Seq. ID Nos. 16 and 17 in combination and sequencing primers of Seq. ID Nos. 18 and 19;
- (e) amplification primers of Seq. ID Nos. 21 and 22 in combination and sequencing primers of Seq. ID Nos. 23 and 24;
- (f) amplification primers of Seq. ID Nos. 26 and 27 in combination and sequencing primers of Seq. ID Nos. 28 and 29;
- (g) amplification primers of Seq. ID Nos. 31 and 32 in combination and sequencing primers of Seq. ID Nos. 33 and 34;
- (h) amplification primers of Seq. ID Nos. 36 and 37 in combination and sequencing primers of Seq. ID Nos. 38 and 39;
- (i) amplification primers of Seq. ID Nos. 41 and 42 in combination and sequencing primers of Seq. ID Nos. 43 and 44; and
- (j) amplification primers of Seq. ID Nos. 46 and 47 in combination and sequencing primers of Seq. ID Nos. 48 and 49.

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

|   |   |  |
|---|---|--|
| Applicant's or agent's file reference<br><b>1162/0051</b> | <b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. |  |
| International application No.<br><b>PCT/CA 99/ 01177</b>  | International filing date (day/month/year)<br><b>10/12/1999</b>   | (Earliest) Priority Date (day/month/year)<br><b>11/12/1998</b> |
| Applicant<br><b>VISIBLE GENETICS INC. et al.</b>          |   |  |

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:

☒ contained in the international application in written form.

☒ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☒ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of Invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

1  
☐ None of the figures.

# INTERNATIONAL SEARCH REPORT

International Application No

CT/CA 99/01177

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C12Q1/68

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C12Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category * | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|------------|---|-----------------------|
| X ✓        | KAPUR V ET AL: "Application of automated DNA sequence analysis for mycobacterium species identification and detection of mutations associated with antibiotic resistance in Mycobacterium tuberculosis" ABSTRACTS OF THE INTERSCIENCE CONFERENCE ON ANTIMICROBIAL AGENTS AND CHEMOTHERAPY, vol. 34, 1994, page 163 XP000901974 see abstract D71 | 1-3                   |
| X ✓        | SUZUKI Y ET AL: "Detection of kanamycin-resistant Mycobacterium tuberculosis by identifying mutations in the 16SrRNA gene" JOURNAL OF CLINICAL MICROBIOLOGY, vol. 36, no. 5, May 1998 (1998-05), pages 1220-5, XP000901934 the whole document   | 1-3                   |

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

13 April 2000

Date of mailing of the international search report

28/04/2000

Name and mailing address of the ISA  
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Authorized officer

Osborne, H

## INTERNATIONAL SEARCH REPORT

International Application No

CT/CA 99/01177

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|------------|---|-----------------------|
| Y          | <p>HONORE N ET AL: "Streptomycin resistance in mycobacteria"<br/> ANTIMICROBIAL AGENTS AND CHEMOTHERAPY,<br/> vol. 38, no. 2, February 1994 (1994-02),<br/> pages 238-42, XP000901931<br/> page 239, paragraph 2</p>  | 1-3                   |
| Y          | <p>SCORPIO A ET AL: "Characterization of pncA mutations in pyrazinamide-resistant Mycobacterium tuberculosis"<br/> ANTIMICROBIAL AGENTS AND CHEMOTHERAPY,<br/> vol. 41, no. 3, March 1997 (1997-03),<br/> pages 540-543, XP000901990<br/> page 540 -page 542, paragraph 4</p>   | 1-3                   |
| Y          | <p>ALANGADEN GJ ET AL: "Mechanism of resistance to amikacin and kanamycin in Mycobacterium tuberculosis"<br/> ANTIMICROBIAL AGENTS AND CHEMOTHERAPY,<br/> vol. 42, no. 5, May 1998 (1998-05), pages 1295-97, XP000901991<br/> the whole document</p>  | 1-3                   |
| Y          | <p>HEYM B ET AL: "IMPLICATIONS OF MULTIDRUG RESISTANCE FOR THE FUTURE OF SHORT-COURSE CHEMOTHERAPY OF TUBERCULOSIS: A MOLECULAR STUDY"<br/> LANCET THE, GB, LANCET LIMITED. LONDON,<br/> vol. 344, no. 8918,<br/> 30 July 1994 (1994-07-30), pages 293-298,<br/> XP002039609<br/> ISSN: 0140-6736<br/> the whole document</p> | 1-3                   |
| Y          | <p>WO 97 23650 A (DUNN JAMES M ; LEUSHNER JAMES (CA); STEVENS JOHN K (CA); VISIBLE GE) 3 July 1997 (1997-07-03)<br/> page 10, paragraph 1 -page 11, paragraph 4; example 7</p>  | 1-3                   |
| A          | <p>WO 95 33074 A (MAYO FOUNDATION ; HOFFMANN LA ROCHE (US))<br/> 7 December 1995 (1995-12-07)<br/> page 3, paragraph 3 -page 6, paragraph 3</p>   | 1-3                   |
| A          | <p>WO 95 33851 A (INNOGENETICS NV ; BEENHOUWER HANS DE (BE); PORTAELS FRANCOISE (BE);)<br/> 14 December 1995 (1995-12-14)<br/> claims 2,22</p>  | 1-3                   |

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## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/CA 99/01177

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|------------|--|-----------------------|
| P, X ✓     | <p>NUESCA D ET AL: "RAPID DETECTION OF ANTIBIOTIC RESISTANCE-ASSOCIATED MUTATIONS IN 10 GENE TARGETS IN MYCOBACTERIUM TUBERCULOSIS USING THE OPENGENE(R) SYSTEM"</p> <p>✓ ABSTRACTS OF THE GENERAL MEETING OF THE AMERICAN SOCIETY FOR MICROBIOLOGY, vol. 99, 30 May 1999 (1999-05-30)<br/>- 3 June 1999 (1999-06-03), page 636<br/>XP000891874<br/>see abstract U-13</p> <p>-----</p> | 1-3                   |

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 99/01177


| Patent document<br>cited in search report |   | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
|---|---|---------------------|----------------------------|---------------------|
| WO 9723650                                | A | 03-07-1997          | US 5834189 A               | 10-11-1998          |
|   |   |                     | AU 1426297 A               | 17-07-1997          |
|   |   |                     | CA 2239896 A               | 03-07-1997          |
|   |   |                     | EP 0870059 A               | 14-10-1998          |
|   |   |                     | WO 9741257 A               | 06-11-1997          |
|   |   |                     | US 5888736 A               | 30-03-1999          |
|   |   |                     | US 5981186 A               | 09-11-1999          |
|   |   |                     | US 6007983 A               | 28-12-1999          |
| WO 9533074                                | A | 07-12-1995          | US 5643723 A               | 01-07-1997          |
|   |   |                     | AU 2605795 A               | 21-12-1995          |
| WO 9533851                                | A | 14-12-1995          | AU 703947 B                | 01-04-1999          |
|   |   |                     | AU 2789695 A               | 04-01-1996          |
|   |   |                     | BR 9507960 A               | 02-09-1997          |
|   |   |                     | CZ 9603612 A               | 16-07-1997          |
|   |   |                     | EP 0771360 A               | 07-05-1997          |
|   |   |                     | JP 10500857 T              | 27-01-1998          |

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

|   |   |   |
|---|---|---|
| Applicant's or agent's file reference<br><b>2393-001205</b>   | <b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |   |
| International application No.<br><b>PCT/CA99/01177</b>  | International filing date (day/month/year)<br><b>10/12/1999</b>   | Priority date (day/month/year)<br><b>11/12/1998</b> |
| International Patent Classification (IPC) or national classification and IPC<br><b>C12Q1/68</b>   |   |   |
| Applicant<br><b>VISIBLE GENETICS INC. et al.</b>  |   |   |
| <p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 8 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 4 sheets.</p>  |   |   |
| <p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I    <input checked="" type="checkbox"/> Basis of the report</li> <li>II   <input checked="" type="checkbox"/> Priority</li> <li>III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV <input type="checkbox"/> Lack of unity of invention</li> <li>V    <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI <input type="checkbox"/> Certain documents cited</li> <li>VII <input checked="" type="checkbox"/> Certain defects in the international application</li> <li>VIII <input checked="" type="checkbox"/> Certain observations on the international application</li> </ul> |   |   |
| Date of submission of the demand<br><br><b>04/07/2000</b>   | Date of completion of this report<br><br><b>29.01.2001</b>  |   |
| Name and mailing address of the international preliminary examining authority:<br><br> <b>European Patent Office</b><br><b>D-80298 Munich</b><br>Tel. +49 89 2399 - 0 Tx: 523656 epmu d<br>Fax: +49 89 2399 - 4465   | Authorized officer<br><br><b>Knudsen, H</b><br><br>Telephone No. +49 89 2399 8696   |   |



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/CA99/01177

**I. Basis of the report**

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).)*:

**Description, pages:**

|        |                     |            |
|--------|---------------------|------------|
| 1,3-18 | as originally filed |            |
| 2      | with telefax of     | 10/01/2001 |

**Claims, No.:**

|      |                 |            |
|------|-----------------|------------|
| 1-14 | with telefax of | 10/01/2001 |
|------|-----------------|------------|

**Drawings, sheets:**

|         |                     |
|---------|---------------------|
| 1/2,2/2 | as originally filed |
|---------|---------------------|

**Sequence listing part of the description, pages:**

1-15, filed with the letter of 05.06.2000

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item. :

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☒ contained in the international application in written form.
- ☒ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. **PCT/CA99/01177**

**4. The amendments have resulted in the cancellation of:**

- ☐ the description,      pages:
- ☐ the claims,            Nos.:
- ☐ the drawings,        sheets:

**5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):**

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

**6. Additional observations, if necessary:  
see separate sheet**

**II. Priority**

**1. ☐ This report has been established as if no priority had been claimed due to the failure to furnish within the prescribed time limit the requested:**

- ☐ copy of the earlier application whose priority has been claimed.
- ☐ translation of the earlier application whose priority has been claimed.

**2. ☐ This report has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid.**

Thus for the purposes of this report, the international filing date indicated above is considered to be the relevant date.

**3. Additional observations, if necessary:  
see separate sheet**

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

|                               |      |        |      |
|-------------------------------|------|--------|------|
| Novelty (N)                   | Yes: | Claims | 1-14 |
|                               | No:  | Claims |      |
| Inventive step (IS)           | Yes: | Claims | 1-14 |
|                               | No:  | Claims |      |
| Industrial applicability (IA) | Yes: | Claims | 1-14 |
|                               | No:  | Claims |      |

**2. Citations and explanations  
see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/CA99/01177

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**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:  
**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:  
**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/CA99/01177

**ITEM I:**

The sequence listing pages 1-15, filed with the letter of 05.06.2000 do not form part of the application (Rule 13ter.1(f) PCT).

**ITEM II:**

The priority appears to be validly claimed for the whole claimed subject-matter and the P-documents mentioned in the International Search Report therefore do not appear to be relevant. The applicant explains that the designation "fabG" used in the priority document and the designation "mabA" used in the application are equivalents and that the sole cause for making the amendment was the wish to use updated language.

**ITEM V:**

THE CLOSEST PRIOR ART:

- 5.1 The closest prior art is disclosed in "Abstracts of the Interscience Conference on Antimicrobial Agents and Chemotherapy, vol. 34, page 163, (1994)" (D1). D1 discloses a method for identification of mycobacterium species identification and detection of mutations associated with antibiotic resistance in Mycobacterium tuberculosis by application of automated DNA sequence analysis. In the method a smear test is first carried out followed by an identification of a species specific hsp65 polymorphism. Subsequently, mutations were found in specific regions of seven genes. The genes investigated in D1 are rpoB, katG, inhA, orf1, rpsL, gyrA and 16SrRNA.

NOVELTY:

- 5.2 The method of claim 1 differs from the method of D1 in that the rpoB primers are selected so that an amplification of a sequence from the rpoB gene is indicative of the presence of Mycobacterium tuberculosis and in that the 23S gene is among the genes sequenced at the same time as the species-specific sequence and in that the sequences of the PR, embB, pncA and gyrA sequences are determined if the species specific test is positive. Thus, claims 1-13 are considered novel.

- 5.3 The only primer sequence of the present application, which is known from the prior art, is SEQ ID NO.1 which is disclosed in claim 22 of WO 95/33851 (D2). However, none of the combination of amplification and sequencing pairs are disclosed in the cited prior art documents. Claim 14 is therefore considered novel.

**INVENTIVE STEP:**

- 5.4 All of the genes mentioned in claims 1-3 of the present application are well-known as being involved in resistance development in *Mycobacterium tuberculosis*.

To the skilled person, who wishes to detect and characterize the resistance profile of a *Mycobacterium tuberculosis* sample isolated from an infected patient, it would therefore, *prima facie*, be obvious to test whether one or more of these genes carries a mutation. D1 informs the skilled person that mutations may be identified by sequencing of a number of genes. WO 97/23650 (D3) provides detailed information on how to sequence for polymorphisms.

WO 95/33074 (D2) discloses that PCR of a region of the *rpoB* gene may be used for both *M.tuberculosis* detection and drug susceptibility testing. The feature of claim 1 that the generation of *rpoB* sequences is indicative of the presence of *M.tuberculosis* therefore does not contribute to the inventiveness of claim 1. However, none of the cited documents suggest that the sequence of the 23S gene is useful in species determination of other *Mycobacteria* strains, in case the test for *M.tuberculosis* is negative. The method of claim 1 therefore solves the problem of providing in a single sequencing procedure the possibility of detecting and drug susceptibility testing *M.tuberculosis* and alternatively identifying another mycobacterium strains. In the absence of any suggestion in the prior art to include the 23S gene in the genes to be analysed firstly in a hierarchical method, claim 1 is considered inventive.

Claims 2-13 which depend on claim 1 are considered inventive as well.

- 5.5 The use of primers for amplification of genes involved in antibiotic resistance is disclosed in D1 and is not considered inventive. The special combinations of amplification primers and sequencing primers (a) - (j) mentioned in claim 14 are not suggested in the prior art, but the sets of primers, per se, do not appear to



overcome a problem of the prior art documents which disclose methods for determining the sequence of several *M.tuberculosis* genes. The applicant argues that some primers perform better than others and considers the primers mentioned in claim 14 to perform very well. However, nothing in the present application suggests that any of the sets of primers solve a technical problem in an unexpected way. Nevertheless, the inclusion of 23S in the genes to be sequenced is not suggested in D1 and given the advantage obtained by its inclusion, present claim 14 is considered inventive.

**INDUSTRIAL APPLICABILITY:**

5.6 Present claims 1-14 are considered industrially applicable.

**ITEM VII:**

- 7.1 Contrary to the requirements of Rule 5(a)(ii) PCT, the discussion of the closest prior art document D1 does not reflect all the relevant background art disclosed therein.
- 7.2 It is not possible to incorporate the teaching of a prior art document into the present application's disclosure by the expression "herein incorporated by reference" or equivalents thereof (see p.4) (cf PCT Guidelines, C-II, 4.17).

**ITEM VIII:**

- 8.1 It is not clear from claim 3 under which conditions the third sequencing procedure is carried out, if the mere presence of *M.tuberculosis* is sufficient to give rise to the third sequencing procedure, then the prerequisites for carrying out the third and second sequencing procedures are the same and the hierarchical principle of the invention as explained in the application is not observed.
- 8.2 The object of the invention would only appear to be met if the kit contains primers which amplify the *rpoB* gene in a way so that the amplification of the *rpoB* gene is indicative of the presence of *M.tuberculosis*. At present this is not a requirement of claim 14.
- 8.3 It is not clear from the application whether the sequencing of a special region of

**INTERNATIONAL PRELIMINARY**

International application No. PCT/CA99/01177

**EXAMINATION REPORT - SEPARATE SHEET**

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the 23S gene is needed in order to identify other strains than M.tuberculosis.